

papergrid[®]
Reflects You

*Don't be afraid to give up the good
to go for the great.*

Appendix: 4-②

Roll No. _____ Subject _____ School/College _____

[illegible]

Euler's theorem

If a is coprime to n , then,

$$a^{\phi(n)} \equiv 1 \pmod{n}$$

where,

$\phi(n)$ is defined to be the # of +ve integers less than n which are coprime to n .

$$\gcd(a, n) = 1 \implies a^{\phi(n)} \equiv 1 \pmod{n}$$

Euler's theorem

Lemma:Let $n > 1$ and $\gcd(a, n) = 1$.If $a_1, a_2, \dots, a_{\phi(n)}$ are the positive integers less than n and relatively prime to n , then

$$aa_1, aa_2, \dots, aa_{\phi(n)}$$

are congruent modulo n to $a_1, a_2, \dots, a_{\phi(n)}$ in some order.Proof $a_1, a_2, \dots, a_{\phi(n)}$ are the positive integers less than n and relatively prime to n .

$$a_i \neq a_j \text{ for } i \neq j. \quad \& \quad \gcd(a_i, n) = 1$$

$$\gcd(a_i, n) = 1 \text{ for all } i \text{ and } \gcd(a, n) = 1$$

$$\Rightarrow \gcd(aa_i, n) = 1 \text{ for all } i$$

$$\Rightarrow aa_i \text{ is coprime to } n \quad \forall i$$

$$\Rightarrow aa_1, aa_2, \dots, aa_{\phi(n)}$$

Check GC. Appendix 4.0

Let's define the sets: $\rightarrow$

$$A = \{a_i | 1 \leq a_i \leq n, \gcd(a_i, n) = 1, \gcd(a_i, n) = 1\}$$

$$= \{aa_1, aa_2, \dots, aa_{d(m)}\} \text{ such that } \text{gcd}(aa_i, n) = 1$$

which has $\phi(n)$ elements and the set

$$B = \{a_i \mid 1 \leq a_i \leq n, \gcd(a_i, n) = 1, \gcd(a_i, n) = 1\}$$

$$= \{a_1, a_2, \dots, a_{\phi(n)}\}$$

which has $\phi(n)$ elements.

$$\gcd(a_i, n) = 1 \text{ and } \gcd(a, n) = 1$$

$$\Rightarrow \gcd(aa_i, n) = 1$$

Euclidean
Algorithm

$$\gcd(aa_i, n) = \gcd(aa_i \bmod n, n) = 1$$

$$\Rightarrow aa_i \bmod n \in B$$

$\therefore$ The map $f: A \rightarrow B$ defined by

$f(aa_i) = aa_i \bmod n$ is well defined.

Let's take 2 elements aa_i and aa_j in the set A and suppose that they have the same image in the set B ,

i.e.,

$$b = aa_i \pmod{n} \iff aa_i = b \pmod{n}$$

$$b = aa_j \pmod{n} \iff aa_j = b \pmod{n}$$

$$\text{with } 1 \leq i < j \leq \phi(n) \quad \underline{aa_i - aa_j = 0 \pmod{n}}$$

$$\implies a(a_i - a_j) = 0 \pmod{n}$$

$$\implies a_i - a_j \mid n$$

$$\implies a_i = a_j \pmod{n} \quad \text{which is a contradiction}$$

$\implies$ no two integers $aa_1, aa_2, \dots, aa_{\phi(n)}$ are congruent modulo n .

$\therefore f: A \rightarrow B$ is one-one.

$$|A| = |B| = \phi(n) \implies f: A \rightarrow B \text{ is a bijection.}$$

$\therefore$ For a particular a_i , there exists
 a unique integer b , where $0 \leq b < n$,
 for which $b \equiv a_i \pmod{n}$ (or)
 $a_i \equiv b \pmod{n}$.

$$\therefore a_i \equiv a_j \pmod{n}$$

The numbers $a_1, a_2, \dots, a_{\phi(n)}$ and the
 numbers $a_1, a_2, \dots, a_{\phi(n)}$ are identical
 (modulo n) in a certain order.

Proof: Euler's theorem

Let $a_1, a_2, \dots, a_{\phi(n)}$ be the ~~the~~ integers less than n that are relatively prime to n . & we are given $\gcd(a_i, n) = 1$.

From Lemma,

$$aa_1 = a'_1 \pmod{n}$$

$$aa_2 = a'_2 \pmod{n}$$

$\vdots$

$$aa_{\phi(n)} = a'_{\phi(n)} \pmod{n}$$

where, $a'_1, a'_2, \dots, a'_{\phi(n)}$ are the integers $a_1, a_2, \dots, a_{\phi(n)}$ in some order.

On taking the product of these $\phi(n)$ congruences,

$$(aa_1)(aa_2) \dots (aa_{\phi(n)}) \equiv a_1' a_2' \dots a_{\phi(n)}' \pmod{n}$$

$$\equiv a_1 a_2 \dots a_{\phi(n)} \pmod{n}$$

$$a^{\phi(n)} (a_1 a_2 \dots a_{\phi(n)}) \equiv a_1 a_2 \dots a_{\phi(n)} \pmod{n}$$

$$\gcd(a_i, n) = 1 \text{ for each } i$$

$$\Rightarrow \gcd(a_1 a_2 \dots a_{\phi(n)}, n) = 1$$

Inverse modulo n exists for $a_1 a_2 \dots a_{\phi(n)}$.

$$\Rightarrow a^{\phi(n)} \equiv 1 \pmod{n}$$

Method: 2

Step 1:

check
Q.C
Appendix 4-10

Method: 2

$$\gcd(a, n) = 1 \implies a^{\phi(n)} = 1 \pmod{n}$$

Step 1: $a^{\phi(p^\alpha)} = 1 \pmod{p^\alpha}$

For $\alpha=1$ the result is just Fermat's Little theorem. $\implies a^{p-1} = 1 \pmod{p}$

Assume the result is true for $\alpha \geq 1$,

$$a^{\phi(p^\alpha)} = 1 \pmod{p^\alpha}$$

$$\implies a^{\phi(p^\alpha)} = 1 + kp^\alpha \text{ for some } k \in \mathbb{Z}$$

$$\begin{aligned} a^{\phi(p^{\alpha+1})} &= a^{p^\alpha(p-1)} \\ &= a^{p \phi(p^\alpha)} \end{aligned}$$

$$\begin{aligned} \phi(p^{\alpha+1}) &= p^{\alpha}(p-1) \\ \implies \phi(p^{\alpha+1}) &= p \phi(p^\alpha) \end{aligned}$$

$$= (1 + kp^\alpha)^p$$

$$= 1 + \binom{p}{1} k p^\alpha + \binom{p}{2} k^2 p^{2\alpha} + \dots + \binom{p}{p} k^p p^{p\alpha}$$

$$= 1 + \sum_{j=1}^p \binom{p}{j} k^j p^{j\alpha}$$

Step 2:

Lemma 4.7A: Suppose p is prime & k is an integer in the range 1 to $p-1$. Then p divides $\binom{p}{k}$.

$\therefore p^{\alpha+1}$ divides every term in the sum, $\sum_{j=1}^p \binom{p}{j} k^j p^{j\alpha}$

So,

$$a^{\phi(p^{\alpha+1})} = 1 + 2p^{\alpha+1} \text{ for some } q \in \mathbb{Z}$$

$$\Rightarrow a^{\phi(p^{\alpha+1})} \equiv 1 \pmod{p^{\alpha+1}}$$

By induction: $a^{\phi(p^\alpha)} \equiv 1 \pmod{p^\alpha}$

Step 2:

$$\phi(mn) = \phi(m)\phi(n) \quad \text{given} \quad \gcd(m, n) = 1$$

$$\phi(n) = \phi(p_1^{\alpha_1} p_2^{\alpha_2} \dots p_m^{\alpha_m})$$

$$= \phi(p_1^{\alpha_1}) \phi(p_2^{\alpha_2}) \dots \phi(p_m^{\alpha_m})$$

$$= a^{t \phi(p_j^{\alpha_j})} = \left(a^{\phi(p_j^{\alpha_j})} \right)^t$$

$$\left[\begin{array}{l} \text{where,} \\ t = \phi(p_1^{\alpha_1}) \dots \phi(p_{j-1}^{\alpha_{j-1}}) \phi(p_{j+1}^{\alpha_{j+1}}) \dots \phi(p_m^{\alpha_m}) \\ = \frac{\phi(n)}{\phi(p_j^{\alpha_j})} \end{array} \right]$$

$$= 1 + k p_j^{\alpha_j} \quad \left[a^{\phi(p_j^{\alpha_j})} = 1 \pmod{p_j^{\alpha_j}} \right]$$

$$\Rightarrow a^{\phi(n)} = 1 \pmod{p_j^{\alpha_j}} \quad \text{for each } j$$

$$a^{\phi(n)} = 1 \pmod{p_1^{\alpha_1}}$$

$$a^{\phi(n)} = 1 \pmod{p_2^{\alpha_2}}$$

$$a^{\phi(n)} = 1 \pmod{p_m^{\alpha_m}}$$

Since $\gcd(p_i^{\alpha_i}, p_j^{\alpha_j}) = 1$ for $i \neq j$

the system of congruence has a unique solution and any two solutions to this system of equations are equal modulo

$n = p_1^{\alpha_1} p_2^{\alpha_2} \dots p_m^{\alpha_m}$, from the Chinese Remainder theorem.

If x and x' are both solutions to the system of equations $x \equiv 1 \pmod{p_j^{\alpha_j}}$ then

$$x \equiv x' \pmod{n}, \text{ where } n = p_1^{\alpha_1} \dots p_m^{\alpha_m}.$$

Since $x' \equiv 1$ is a solution, any solution to the set of equations $x \equiv 1 \pmod{p_j^{\alpha_j}}$ must satisfy $x \equiv 1 \pmod{n}$.

Therefore,

$$a^{\phi(n)} = 1 \pmod{n}$$

Method: 3

Using Lagrange's theorem.

$\mathbb{Z}_n^*$ be the set of all elements in $\mathbb{Z}_n$ which have inverse modulo n , i.e., the set of all elements in $\mathbb{Z}_n$ which are coprime to n . $\mathbb{Z}_n^*$ forms a group of size $\phi(n)$ under multiplication.

The multiplicative group of integers modulo n , may be written as $(\mathbb{Z}_n^*, \cdot)$
(or) $(\mathbb{Z}/n\mathbb{Z})^\times$ (or) $(\mathbb{Z}/n\mathbb{Z})^*$ (or) $\mathbb{Z}_n^*$.

$$\mathbb{Z}_n^* = (\mathbb{Z}/n\mathbb{Z})^\times = \left\{ a \in \mathbb{Z}/n\mathbb{Z} \mid \begin{array}{l} a \text{ is coprime to } n \\ \text{or } \gcd(a, n) = 1 \end{array} \right\}$$

We have,

$$|\mathbb{Z}_n^*| = \phi(n) : \# \text{ of +ve integers less than } n \text{ which are coprime to } n.$$

Since 'a' is coprime to 'n', i.e., $\gcd(a, n) = 1$

'a' represents some $[a] \in \mathbb{Z}_n^*$, which we also denote by 'a' here.

$$|G| = |G:H| \cdot |H|$$

From Lagrange's theorem: For a group G & $a \in G$,

$\langle a \rangle$ is the smallest subgroup of G containing 'a'.

$$|\langle a \rangle| \mid |G| \quad \& \quad |a| = |\langle a \rangle|$$

$$\implies |a| \mid |G| \implies a^{|a|} = e$$

$$\therefore a^{|\mathbb{Z}_n^*|} = [1]$$

$$\implies \underline{\underline{a^{\phi(n)} \equiv 1 \pmod{n}}}$$

- $\mathbb{Z}_n^*$ forms a group of size $\phi(n)$ under the operation of multiplication modulo n .

- Let 'a' is an arbitrary element of $\mathbb{Z}_n^*$.

Then, $S = \{1, a, a^2, \dots\}$ forms a subgroup of $\mathbb{Z}_n^*$ and the size of S is the least value of r such that $a^r = 1 \pmod{n}$.

- Suppose g is a generator for $\mathbb{Z}_n^*$. Then g must have order $\phi(n)$.

Theorem A 4.10: Let p be an odd prime,

α a positive integer. Then $\mathbb{Z}_{p^\alpha}^*$ is cyclic.

i.e., there is an element $g \in \mathbb{Z}_{p^\alpha}^*$ which generates $\mathbb{Z}_{p^\alpha}^*$ in the sense that any other element a may be written, $a = g^k \pmod{n}$ where $n = p^\alpha$, for some non-negative integer k .

PROOF

PART 1

* For a prime p , the group $\mathbb{Z}_p^*$ is cyclic.

• Lemma 1: Let G be an abelian group.

① and let $a, b \in G$, then

$$|ab| \mid \text{lcm}(|a|, |b|)$$

$$\text{gcd}(|a|, |b|) \cdot \text{lcm}(|a|, |b|) = |a| \cdot |b|$$

$\therefore$ If $|a|, |b|$ are coprime, i.e., $\text{gcd}(|a|, |b|) = 1$

then $|ab| \mid |a| \cdot |b|$

② Let a, b be elements of an abelian group G .

If $\langle a \rangle \cap \langle b \rangle = \{e\}$, then $|ab| = \text{lcm}(|a|, |b|)$

Proof

Let

then

$\Rightarrow$

③ Let

If $|a|$

then

Proof

Lagrange
 $|a| = |a|$

Q.C.G.T
2

Q.C.A.T
①

$\{cl_a, cl_b\}$
 $\{cl_{ab}\}$

Proof

Let $|a|=m$, $|b|=n$ and let $c = \text{lcm}(m, n)$

then: $c = rm$ for some $r \in \mathbb{Z}$

$= sn$ for some $s \in \mathbb{Z}$

$$\therefore (ab)^c = a^c b^c$$

$$= a^{rm} b^{sn}$$

$$= (a^m)^r (b^n)^s$$

$$= e^r e^s = e$$

$$[ab = ba]$$

$$\text{from } |a|/|b|$$

$$\Rightarrow |ab| \mid c \Rightarrow \underline{\underline{|ab| \mid \text{lcm}(|a|, |b|)}}$$

⑥ Let a, b be elements of an abelian group G .

If $|a|$ and $|b|$ are coprime, i.e., $\gcd(|a|, |b|) = 1$.

then, $|ab| = |a||b|$

Proof Consider $H = \langle a \rangle \cap \langle b \rangle$

& $H \leq G$
 $H \leq \langle a \rangle, H \leq \langle b \rangle$

$$\left[\begin{array}{l} \text{Q.C.G.T } \textcircled{1} \\ H \leq G \\ H \leq \langle a \rangle, H \leq \langle b \rangle \end{array} \right] \Rightarrow H \cap K \leq G$$

Lagrange's theorem: $|H| \mid |a|$ and $|H| \mid |b| \Rightarrow |H| \mid |a|$ and $|H| \mid |b|$

$$\Rightarrow |H| \mid \gcd(|a|, |b|)$$

$$\gcd(|a|, |b|) = 1 \Rightarrow |H| \mid 1 \Rightarrow |H| = 1$$

$$\therefore H = \langle a \rangle \cap \langle b \rangle = \{e\} \quad \textcircled{2} \Rightarrow |ab| = \text{lcm}(|a|, |b|) = |a||b|$$

Q.C.G.T
2

Q.C.G.T
①

$$\left\{ \begin{array}{l} c|a| \\ c|b| \end{array} \right\} \Rightarrow c \mid \gcd(a, b)$$

- Lemma 2: Let G be a finite abelian group.

If n is the maximal order among the elements in G , then the order of every element divides n .

Q.C.G.T
(2) →

$$|g| \mid |g|_{\max}$$

$$(|d|, |a|) \mid |a| \mid |d| \leftarrow \Rightarrow |d| \mid |a| \leftarrow \Rightarrow$$

$$\begin{cases} \text{① } T @ \text{ ②} \\ m = x \cdot n \end{cases}$$

$$|d| \mid |a| \text{ and } |a| \mid |d| \Rightarrow |d| \mid |a| \text{ and } |a| \mid |d|$$

$$(|d|, |a|) \mid |a| \leftarrow$$

$$1 = |a| \leftarrow 1 \mid |a| \leftarrow 1 = (|d|, |a|) \mid |a|$$

$$|d| \mid |a| = (|d|, |a|) \mid |a| \leftarrow \text{③} \quad \{d\} = \langle d \rangle \text{ and } \{a\} = \langle a \rangle$$

Method: 1

Let n be the maximal order of the element of $\mathbb{Z}_p^*$.

i.e., $|g| = n$ for some $g \in \mathbb{Z}_p^*$ such that $g^n = 1 \pmod{p}$.

$$|\mathbb{Z}_p^*| = \phi(p) = p-1$$

$$\Rightarrow n \leq p-1 \quad n \mid (p-1)$$

The group $\mathbb{Z}_p^*$ is cyclic iff $n = p-1$

$$\begin{bmatrix} x^{p-1} & x^{p-2} & \dots & x & 1 \\ 1 & x & x^2 & \dots & x^{p-2} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & x^{p-2} & x^{2(p-2)} & \dots & x^{(p-2)(p-2)} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & x^{p-2} & x^{2(p-2)} & \dots & x^{(p-2)(p-2)} \end{bmatrix} = A$$

Assume that $n < p-1$,

For the group $\mathbb{Z}_p^*$, $n = |\mathbb{Z}_p^*|$

Lemma 2 $\Rightarrow a^n \equiv 1 \pmod{p}$ for all $a \in \mathbb{Z}_p^*$

since $|g| \mid n$. $1-g \neq 0 \leftarrow$

Consider the following $(p-1) \times (p-1)$ matrix,

$$A = \begin{bmatrix} 1 & 1 & 1^2 & \dots & 1^{p-2} \\ 1 & 2 & 2^2 & \dots & 2^{p-2} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & p-2 & (p-2)^2 & \dots & (p-2)^{p-2} \\ 1 & p-1 & (p-1)^2 & \dots & (p-1)^{p-2} \end{bmatrix}$$

ILA ②

$$n < p-1 \implies n \leq p-2$$

and $a^n \equiv 1 \pmod{p}$ for $a = 1, 2, \dots, p-1$

$\implies$ the column with exponent n has all entries equal to 1 (mod p), so that column matches the 1st column of $A \pmod{p}$

$$\therefore \det(A) \equiv 0 \pmod{p} \quad \text{--- ①}$$

For a Vandermonde matrix,

$$V = \begin{bmatrix} 1 & x_1 & x_1^2 & \dots & x_1^{n-1} \\ 1 & x_2 & x_2^2 & \dots & x_2^{n-1} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & x_m & x_m^2 & \dots & x_m^{n-1} \end{bmatrix} \quad \text{such that}$$

$$\det(V) = \prod_{1 \leq i < j \leq n} (x_j - x_i)$$

ILA ②

Since A is a Vandermonde matrix (where $m=n$)

$$\det(A) = \prod_{1 \leq i < j \leq p-1} (j-i)$$

The differences $j-i$ are all nonzero mod p ,
so their product is nonzero mod p
because p is prime.

$$\therefore \det(A) \not\equiv 0 \pmod{p}.$$

But ① states that $\det(A) \equiv 0 \pmod{p}$
if $n < p-1$ & that is a contradiction.

$$\Rightarrow \underline{n = p-1}.$$

$$n = |g| = |\langle g \rangle| \text{ for some } g \in \mathbb{Z}_p^*$$

$\langle g \rangle$ is the smallest subgroup of $\mathbb{Z}_p^*$ containing

$$\therefore \langle g \rangle \leq \mathbb{Z}_p^* \text{ such that } n = |\langle g \rangle| = |\mathbb{Z}_p^*| = p-1$$

$$\Rightarrow \langle g \rangle = G$$

$\therefore G$ is cyclic

□ Reduction of factoring to order-finding

One of the most celebrated achievements in Quantum Algorithms was Peter Shor's algorithm to factor large numbers.

There is no known polynomial time algorithm that can factor numbers on a classical computer despite a great deal of effort.

Besides the fact that this is a fundamental mathematical problem, the hardness of factoring is the basis of certain cryptographic schemes, including RSA.

The problem of factoring numbers on a classical computer turns out to be equivalent to another problem - order-finding problem.

↑
→ Quantum Computers are able to quickly solve the order-finding problem, & thus can factor quickly.

* Suppose N is a positive integer, and a is coprime to N , $1 \leq a < N$.

The order of a modulo N is defined to be the least +ve integer r such that $a^r = 1 \pmod{N}$.

The order-finding problem is to determine r , given a and N .

G.C.D.

②

of
iff

$a^{\phi(N)}$

- * The order of x modulo N must divide $\phi(N)$.

Proof

Euler's theorem: $\gcd(x, N) = 1 \Rightarrow x^{\phi(N)} \equiv 1 \pmod{N}$

$\phi(N)$: # of the integers less than N which are coprime to N .

G.C.G.T

②

Let G be a group and $g \in G$ be of order n . Then $g^m = e$ for some $m \in \mathbb{N}$ iff $n | m$.

Lagrange's theorem: $|G| = [G:H] |H|$

$$x^{\phi(N)} \equiv 1 \pmod{N} \Rightarrow n | \phi(N)$$

$|H| |G|$
 $|g| = [g] |G|$

The reduction of factoring to order-finding proceeds in 2 basic steps:

- (i) We can compute a factor of N if we can find a non-trivial solution $x \neq \pm 1 \pmod{N}$ to the equation $x^2 = 1 \pmod{N}$.

- (ii) A randomly chosen y co-prime to N is quite likely to have an order r which is even, and such that $y^{r/2} \neq \pm 1 \pmod{N}$, and thus $x = y^{r/2} \pmod{N}$ is a solution

$$x^2 = 1 \pmod{N}.$$

* Theorem

Proof

$$x^2 =$$

$\Rightarrow$

$\Rightarrow$

$\therefore$

* Theorem A4.11: Suppose N is a composite number L bits long, and x is a non-trivial solution to the equation $x^2 \equiv 1 \pmod{N}$ in the range $1 \leq x \leq N$, and neither $x \equiv 1 \pmod{N}$ nor $x \equiv N-1 \equiv -1 \pmod{N}$, i.e., $x \not\equiv \pm 1 \pmod{N}$. Then, at least one of $\gcd(x-1, N)$ and $\gcd(x+1, N)$ is a non-trivial factor of N that can be computed using $O(L^3)$ operations.

Proof

$$x^2 \equiv 1 \pmod{N} \text{ and } x \not\equiv \pm 1 \pmod{N}$$

$$\text{where } 1 \leq x < N, \quad x, N > 0.$$

$$\Rightarrow (x^2 - 1) \equiv 0 \pmod{N}$$

$$\Rightarrow (x-1)(x+1) \equiv 0 \pmod{N}$$

$$\therefore N \text{ divides } (x-1)(x+1)$$

$$x \not\equiv \pm 1 \pmod{N} \implies (x \mp 1) \not\equiv 0 \pmod{N}$$

$\therefore N$ does not divide $(x \pm 1)$.

i.e.,

neither $(x+1)$ nor $(x-1)$ alone is a multiple of N .

$\implies \gcd(x-1, N)$ and $\gcd(x+1, N)$ give non-trivial factors of N .

Proof

$$(N \bmod N) \neq 0 \text{ and } (N \bmod 1) = 0$$

$$N < N \cdot x \text{ and } N > 1 \text{ for } x > 1$$

$$\text{and } (N \bmod x) = (1-x) \leftarrow$$

$$(N \bmod 1) = (1-1) \leftarrow$$

$$(1+x)(1-x) \text{ divides } N$$

• The algorithm for factoring will work as follows:

• Pick a at random from $\{2, \dots, N-1\}$

• If $\gcd(a, N) \neq 1$ then $\gcd(a, N)$ is a non-trivial divisor of N . + we're done

• Otherwise compute $r = \text{Ord}(a) = |a|$

If r is odd, start again

If r is even, and $a^{r/2} \neq -1 \pmod{N}$,
start again.

• Otherwise $a^{r/2}$ is a non-trivial square-root of 1 $\Rightarrow$ Use it to factor N .

What's the probability that one iteration of the above algorithm will succeed?

$$\mathbb{Z}_N^* = \{a \pmod N \mid \gcd(a, N) = 1\}$$

$\mathbb{Z}_N^*$ is a group under multiplication.

of elements in $\mathbb{Z}_N^*$ is given by the Euler function, $\phi(N)$

i.e., $|\mathbb{Z}_N^*| = \phi(N)$: # of the integers less than N which are coprime to N .

$$\phi(p) = p-1$$

$$\phi(p^\alpha) = p^\alpha \left(1 - \frac{1}{p}\right) = p^{\alpha-1}(p-1)$$

$$\phi(N) = \phi(p_1^{\alpha_1} \cdots p_j^{\alpha_j}) = \phi\left(\prod_{j=1}^k p_j^{\alpha_j}\right)$$

$$= \prod_j p_j^{\alpha_j} \left(1 - \frac{1}{p_j}\right) = \prod_j p_j^{\alpha_j-1} (p_j - 1)$$

$$\frac{1}{s} = \left(\frac{1}{|x|}\right) \chi \left(\frac{1}{s}\right) q$$

$$\frac{1}{s} = \left(\frac{1}{|x|}\right) \chi \left(\frac{1}{s}\right) q \quad \left(\# \frac{1}{s}\right) \chi \left(\frac{1}{s}\right) = \left(\frac{1}{s}\right) \chi$$

What's the probability that an element x randomly chosen from $\mathbb{Z}_N^*$ has even order and if so satisfies $x^{n/2} \neq -1 \pmod{N}$?

Lemma A4.12: Let p be an odd prime.

Let $2^{d'}$ be the largest power of 2 dividing $\phi(p^x)$. Then with probability exactly one-half $2^{d'}$ divides the order modulo p^x of a randomly chosen element of $\mathbb{Z}_{p^x}^*$.

$$\left. \begin{array}{l} x \in \mathbb{Z}_{p^x}^* \\ \phi(p^x) = 2^{d'} (\text{odd } \#) \end{array} \right\} \begin{array}{l} p(2^{d'} | |x|) = \frac{1}{2} \\ p(2^{d'} \nmid |x|) = \frac{1}{2} \end{array}$$

Proof

$N = p^x$ for odd prime p

$$\phi(N) = \phi(p^x) = p^x (p-1)$$

$\Rightarrow \phi(p^x)$ is even since p is odd

$$\therefore \phi(p^x) = 2^{d'} (\text{odd prime } \#)$$

for $d' \geq 1$

Theorem A4.10: Let p be an odd prime,
 α a positive integer. Then $\mathbb{Z}_{p^\alpha}^*$ is cyclic.

$\therefore$ The group $\mathbb{Z}_{p^\alpha}^*$ has a generator g
so an arbitrary element may be written
in the form $g^k \pmod{p^\alpha}$ for some k
in the range 1 through $\phi(p^\alpha)$.

$$\mathbb{Z}_{p^\alpha}^* = \{g \pmod{p^\alpha}, g^2 \pmod{p^\alpha}, \dots, g^{\phi(p^\alpha)} \pmod{p^\alpha} = 1 \pmod{p^\alpha}\}$$

If $g^y = 1 \pmod{N}$ then $|g| \mid y$ or $\phi(N) \mid y$

$$\text{since } |g| = |\langle g \rangle| = |\mathbb{Z}_N^*| = \phi(N)$$

Let's take a random element x of $\mathbb{Z}_{p^x}^*$
 i.e., $x = g^k \pmod{p^x}$

and let r be the order of x .

$$x^r = g^{kr} = 1 \pmod{p^x}$$

g is the generator of $\mathbb{Z}_{p^x}^*$.

$$\Rightarrow |g| = |\langle g \rangle| = |\mathbb{Z}_{p^x}^*| = \phi(p^x)$$

$$\therefore g^{kr} = 1 \pmod{p^x}$$

$$\therefore \phi(p^x) \mid kr$$

$\phi(p^x)$ divides kr evenly

$$\phi(p^x) = 2^{d'} \text{ (odd prime \#)} = 2^{d'} \cdot t, \text{ \& } t \phi(p^x) = kr$$

$$\Rightarrow kr = t \phi(p^x) = t 2^{d'} \cdot t = 2^{d'} (0_2)$$

$$kr = 2^{d'} \text{ (odd prime \#)}$$

k is odd : $\phi(p^k) = p^{k-1}(p-1) = 2^{d'} O_1$ is even

$\Rightarrow k\tau$ is even

$\Rightarrow \tau$ is even

$$k\tau = t \phi(p^k) = O_t 2^{d_t} 2^{d'} O_1$$

$$\Rightarrow \tau = 2^{d_t} 2^{d'} \text{ where } d_t \geq 0$$

$$\therefore 2^{d'} \mid \tau$$

k is even : $x = g^k \pmod{p^k}$ & $x^\tau = g^{k\tau} = 1 \pmod{p^k}$

$$x^{\phi(p^k)/2} = (g^k)^{\phi(p^k)/2} = g^{k\phi(p^k)/2} = (g^{\phi(p^k)})^{k/2} = 1 = 1 \pmod{p^k}$$

$$\Rightarrow \tau \mid \phi(p^k)/2$$

$$\Rightarrow g^\tau = \frac{\phi(p^k)}{2} = \frac{2^{d'} O_1}{2} \Rightarrow \tau = \frac{2^{d'} O_1}{2g} = \frac{2^{d'-d''} O_1}{g}$$

$d'-d'' < d'$

$$\therefore 2^{d'} \nmid \tau$$

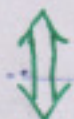
When k is odd : $2^{d'} \mid \tau$

When k is even : $2^{d'} \nmid \tau$

Theorem A.4.13:

Suppose $N = p_1^{\alpha_1} \cdots p_m^{\alpha_m}$ is the prime factorization of an odd composite +ve integer. Let x be chosen uniformly at random from $\mathbb{Z}_N^*$, and r be the order of x modulo N . Then,

$$P(r \text{ is even} \ \& \ x^{r/2} \neq -1 \pmod{N}) \geq 1 - \frac{1}{2^m}$$



$$P(r \text{ is odd} \ \& \ x^{r/2} = -1 \pmod{N}) \leq \frac{1}{2^m}$$

$$1 = P(r \text{ is odd}) = \sum_{d|N} \frac{\phi(d)}{\phi(N)} = \sum_{d|N} \frac{1}{2^m} = 2^m \cdot \frac{1}{2^m} = 1$$

Proof Applying the Chinese remainder theorem,

Since $p_1^{\alpha_1}, p_2^{\alpha_2}, \dots, p_m^{\alpha_m}$ be non-zero integers

that are pairwise relatively prime
i.e., $\gcd(p_i^{\alpha_i}, p_j^{\alpha_j}) = 1$ for $i \neq j$

all integers $a_1, a_2, \dots, a_m$ the system of congruences

Q.C.N.T
①

This gener

$$x = x_1 \pmod{p_1^{\alpha_1}}$$

$$x = x_2 \pmod{p_2^{\alpha_2}}$$

$$x = x_m \pmod{p_m^{\alpha_m}}$$

has a solution & any two solutions to this system of equations are equal modulo $N = p_1^{\alpha_1} p_2^{\alpha_2} \dots p_m^{\alpha_m}$.

$$x = x' \pmod{N}$$

$$\text{Let } N = p_1^{\alpha_1} \dots p_m^{\alpha_m},$$

$\Rightarrow$ For any sequence $x_1, x_2, \dots, x_m$ such that $0 \leq x_i \leq p_i^{\alpha_i} - 1$ there is exactly one $x \in \{0, \dots, N-1\}$ such that $x_i = x \pmod{p_i^{\alpha_i}}$ for $i=1, \dots, m$.

This gives us an alternative way of generating a random element of $\mathbb{Z}_N^*$.

By the Chinese remainder theorem, choosing x uniformly at random from $\mathbb{Z}_N^*$ is equivalent to choosing x_j independently and uniformly at random from $\mathbb{Z}_{p_j}^*$, and requiring that $x = x_j \pmod{p_j}$ for each j .

Let r_j be the order of x_j modulo p_j and r be the order of x modulo N .

Let 2^{d_j} be the largest power of 2 that divides r_j and 2^d be the largest power of 2 that divides r .

i.e.,

$$r_j = 2^{d_j} (\text{odd prime \#}) \quad \text{for } j=1, 2, \dots, m$$

$$r = 2^d (\text{odd prime \#})$$

Case 1: When τ is odd

$$\begin{aligned} x^\tau &= 1 \pmod{N} \\ \Rightarrow x^\tau &= 1 + 2N \\ &= 1 + 2p_1^{\alpha_1} \dots p_j^{\alpha_j} \dots \\ &= 1 + 2^t p_j^{\alpha_j} \\ &= 1 \pmod{p_j^{\alpha_j}} \end{aligned}$$

$$x^\tau = 1 \pmod{N} \Rightarrow x^\tau = 1 \pmod{p_j^{\alpha_j}}$$

$$x = x_j \pmod{p_j^{\alpha_j}} \Rightarrow x^\tau = x_j^\tau \pmod{p_j^{\alpha_j}}$$

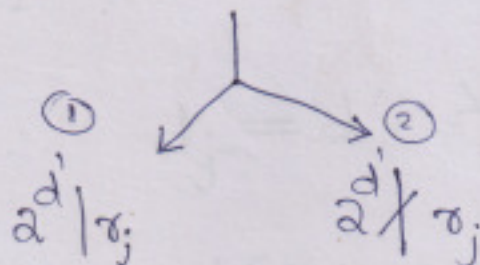
$$\therefore x_j^\tau = 1 \pmod{p_j^{\alpha_j}}$$

$$\Rightarrow \tau_j \mid \tau \Rightarrow \tau = t\tau_j$$

$\therefore$ If τ is odd then all τ_j are odd.

Lemma A.4.1a $\Rightarrow 2^{d'}$ divides the group $\mathbb{Z}_{p_j^{\alpha_j}}^*$

into two sets



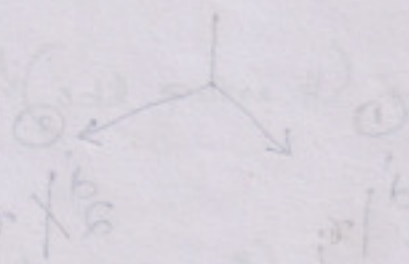
Odd τ_j does not fall in the set 1 and
 not all elements in set 2 have τ_j odd.

$$P(\tau_j \text{ is odd}) \leq \frac{1}{2}$$

Since the τ_j are chosen independently
 and each τ_j is odd with probability $\leq$
 at most $\frac{1}{2}$.

$$\Rightarrow P(\tau \text{ is odd}) \leq \frac{1}{2^n}$$

$$\tau = r \iff$$



Case 2

$$x^{1/2} =$$

$$x_i = x_j$$

As def

and

$$\tau_j | \tau =$$

$$\tau_j \sim \tau/2$$

i.e.,

Case 2: When τ is even & $x^{\tau/2} = -1 \pmod{N}$

$$x^{\tau/2} = -1 \pmod{N} \implies x^{\tau/2} = -1 \pmod{p_j^{\alpha_j}}$$

$$x_j = x_j \pmod{p_j^{\alpha_j}} \implies x_j^{\tau/2} = -1 \pmod{p_j^{\alpha_j}}$$

$$\therefore \tau_j | \tau \text{ \& } \tau_j \nmid \tau/2$$

$$\text{As defined, } \tau_j = 2^{d_j} (\text{odd prime } \#) = 2^{d_j} \times O_1$$

$$\text{and } \tau = 2^d (\text{odd prime } \#) = 2^d \times O_2$$

$$\tau_j | \tau \implies d - d_j \geq 0 \implies d \geq d_j$$

$$\tau_j \nmid \tau/2 \implies d - 1 - d_j < 0 \implies d_j > d - 1 \implies d_j \geq d$$

$$\therefore d_j = d \quad \forall j$$

$$\text{i.e., } \tau_j = 2^d (\text{odd prime } \#) = 2^d \times O_1$$

Lemma A4.12 $\Rightarrow$ Exactly half the elements of $\mathbb{Z}_{p_j^{a_j}}^*$ have $d_j = d'$ where d' is the largest power of 2 dividing $\phi(p_j^{a_j})$.

i.e., $P(d_j = d') = \frac{1}{2}$

$\therefore P(d_j = \text{any particular value}) \leq \frac{1}{2}$

$\Rightarrow P(d_j = d) \leq \frac{1}{2}$

Back
19/11/23

When r is odd, r_j/r for each j

$$\therefore r_j \text{ is odd} \Rightarrow d_j = 0 \quad \forall j=1, 2, \dots, k$$

$$x^{r/2} = -1 \pmod{N}$$

$$\therefore \text{get}(r, N) \geq 1 \text{ then return the factor } r$$

To have r odd or $x^{r/2} = -1 \pmod{N}$

it is necessary that d_j takes the same value for all values of j .

$$P(d_j = \text{any particular value}) \leq \frac{1}{2}$$

$$P(r \text{ is odd or } x^{r/2} = -1 \pmod{N}) \leq \frac{1}{2^m}$$

Theorems A4.11 and A4.13 can be combined to give an algorithm which, with high probability, returns a non-trivial factor of any composite N . All the steps in the algorithm can be performed efficiently on a classical computer except (as far as known today) an order-finding subroutine which is used by this algorithm.

By repeating the algorithm we may find a complete prime factorization of N .

Algo

- ① If N is prime, return N .
- ② Use $\phi(N)$ to find d such that $d \mid \phi(N)$ and $d < \phi(N)$.
- ③ Randomly choose $a \in \mathbb{Z}_N^*$.
- ④ Use $a^d \pmod N$ to find x such that $x^2 \equiv 1 \pmod N$ and $x \not\equiv \pm 1 \pmod N$.
- ⑤ If $x \equiv \pm 1 \pmod N$, the test fails. Otherwise, return $\gcd(x-1, N)$.

Algorithm

- ① If N is even, return the factor 2
- ② Use the algorithm of Exercise 5.17 to determine whether $N = a^b$ for integers $a \geq 1$ & $b \geq 2$, and if so return the factor a .
- ③ Randomly choose x in the range 1 to $N-1$.
If $\gcd(x, N) > 1$ then return the factor $\gcd(x, N)$
- ④ Use the order-finding subroutine to find the order r of x modulo N .
- ⑤ If r is even & $x^{r/2} \not\equiv -1 \pmod{N}$ then compute $\gcd(x^{r/2} - 1, N)$ and $\gcd(x^{r/2} + 1, N)$, and test to see which is a non-trivial factor, returning that factor. Otherwise, the algorithm fails.

Steps 1 and 2 of the algorithm either return a factor, or else ensure that N is ~~not~~ an odd integer with more than one prime factor.

Step 3 either returns a factor, or produces a randomly chosen element x of $\mathbb{Z}_N^*$.

Step 4 calls the order-finding subroutine, computing the order r of x modulo N .

Step 5 completes the algorithm, since theorem A4.12 guarantees that with probability at least one-half r will be even and $x^{r/2} \not\equiv -1 \pmod{N}$, and then theorem A4.11 guarantees that either $\gcd(x^{r/2} - 1, N)$ or $\gcd(x^{r/2} + 1, N)$ is a non-trivial factor of N .

Continued Fractions

- C.D. Olds

Solve the quadratic equation:

$$x^2 - 3x - 1 = 0$$

$$x^2 = 3x + 1 \implies x = 3 + \frac{1}{x}$$

$$x = 3 + \frac{1}{x} \left(= 3 + \frac{1}{3 + \frac{1}{x}} \right)$$

$$\implies x = 3 + \frac{1}{3 + \frac{1}{3 + \frac{1}{3 + \frac{1}{x}}}}$$

The right side of the equation contains a succession of fractions, obtained by stopping at consecutive stages as:

$$3, 3 + \frac{1}{3}, 3 + \frac{1}{3 + \frac{1}{3}}, 3 + \frac{1}{3 + \frac{1}{3 + \frac{1}{3}}}, \dots$$

These numbers when converted into fractions and then into decimals, give in turn the numbers:

$$3, \frac{10}{3} = 3.333\dots, \frac{33}{10} = 3.3, \frac{109}{33} = 3.30303\dots,$$

⇒ These numbers (or convergents) give better and better approximations to the true square root of the given quadratic equation.

The quadratic formula $x^2 - 3x - 1 = 0$ shows that this root is equal to:

$$x = \frac{3 + \sqrt{13}}{2} = 3.302775\dots$$

which when rounded to 3.303

An expression of the form

$$a_1 + \frac{b_1}{a_2 + \frac{b_2}{a_3 + \frac{b_3}{a_4 + \frac{b_4}{\ddots}}}}$$

is called a continuous fraction.

In general, the numbers $a_1, a_2, a_3, \dots$, $b_1, b_2, b_3, \dots$ may be any real or complex numbers, and the # of terms may be finite or infinite. Usually they are required to be integers. If $b_i = 1$ for all i the expression is called a simple continued fraction. If the expression contains finitely many terms, it is called a finite continuous fraction. If the expression contains infinitely many terms, it is called an infinite ~~continued~~ fraction.

simple

a_1

where
integers
terms

Finite
form:

$a_1 +$

with
such
continu

Simple continued fractions have the form

$$a_1 + \frac{1}{a_2 + \frac{1}{a_3 + \frac{1}{a_4 + \ddots}}}$$

where the 1st term a_1 is usually +ve or -ve integers (but could be zero), and where the terms $a_2, a_3, a_4, \dots$ are +ve integers.

Finite simple continued fractions have the form:

$$a_1 + \frac{1}{a_2 + \frac{1}{a_3 + \frac{1}{a_4 + \ddots + \frac{1}{a_{n-1} + \frac{1}{a_n}}}}}$$

with only a finite # of terms $a_1, a_2, \dots, a_n$.
Such a fraction is called a terminating continued fraction.

The continued fraction can be denoted as:

$$[a_1, a_2, \dots, a_n] = a_1 + \frac{1}{a_2 + \frac{1}{a_3 + \dots + \frac{1}{a_n}}}$$

The terms $a_1, a_2, \dots, a_n$ are called the partial quotients of the continued fraction.

Examples

Ex: $\frac{67}{29} = 2 + \frac{1}{3 + \frac{1}{4 + \frac{1}{2}}} = 2 + \frac{1}{3} + \frac{1}{4} + \frac{1}{2}$

$$= [2, 3, 4, 2]$$

Euclid's algorithm for gcd,

$$\begin{aligned} \gcd(a, b) &= \gcd(b, a \bmod b) \quad \text{or} \quad \gcd(b, r_1) \\ &= \gcd(r_1, r_2) \dots = \gcd(r_m, 0). \end{aligned}$$

$$67 = 2 \times 29 + 9$$

$$29 = 3 \times 9 + 2$$

$$9 = 4 \times 2 + 1$$

$$2 = 2 \times 1 + 0$$

$$\begin{array}{r} 29 \overline{) 67} \\ \underline{58} \\ 9 \\ 9 \overline{) 9} \\ \underline{9} \\ 0 \\ 29 \overline{) 9} \\ \underline{18} \\ 11 \\ 29 \overline{) 11} \\ \underline{9} \\ 2 \\ 29 \overline{) 2} \\ \underline{2} \\ 0 \end{array}$$

$$\frac{67}{29} = [2, 3, 4, 2] = 2 + \frac{1}{3 + \frac{1}{4 + \frac{1}{2}}}$$

Ex: $\frac{29}{67}$

$$29 \overline{) 67} 2 = a_1$$

$$\frac{1}{5} + \frac{1}{4} + \frac{1}{3} = \frac{47}{60} + \frac{1}{2} = \frac{71}{60}$$

$$9 \overline{) 29} 3 = a_2$$

$$27 \overline{) 29} 4 = a_3$$

$$8 \overline{) 12} 2 = a_4$$

$$\frac{67}{29} = [2, 3, 4, 2] = [a_1, a_2, a_3, a_4]$$

$$(x, y) \text{ bij } (x, y) \text{ bij } = (x, y) \text{ bij}$$

$$(0, m) \text{ bij } = (x, y) \text{ bij}$$

$$P + P \text{ (3)} = 7B$$

$$S + P \text{ (3)} = PB$$

$$I + S \text{ (4)} = P$$

$$O + I \text{ (5)} = S$$

$$\frac{1}{\frac{1}{5} + \frac{1}{4} + \frac{1}{3}} + \frac{1}{2} = [5, 4, 3, 2] = \frac{60}{71}$$

$$\text{Ex: } \frac{29}{67} = 0 + \frac{1}{2 + \frac{1}{3 + \frac{1}{4 + \frac{1}{2}}}} = [0, 2, 3, 4, 2]$$

$$67 \overline{) 29} 0 = a_1$$

$$\begin{array}{r} 0 \\ 29 \overline{) 67} 2 = a_2 \end{array}$$

$$\begin{array}{r} 58 \\ 9 \overline{) 29} 3 = a_3 \end{array}$$

$$\begin{array}{r} 27 \\ 2 \overline{) 9} 4 = a_4 \end{array}$$

$$\begin{array}{r} 8 \\ 1 \overline{) 2} 2 = a_5 \\ \underline{2} \\ 0 \end{array}$$

Every finite continued fraction ending with a_n has a form

① CF ending with a_n is $[a_0, a_1, \dots, a_n]$

② CF ending 1, 2, replace the first a_n

$$q_n(a_n) = \frac{p_n}{q_n}$$

$$\text{eg } [a_0, a_1, 1]$$

*

$$\nexists p > q \text{ and } \frac{p}{q} = [a_1, a_2, \dots, a_n]$$

then,

$$\frac{q}{p} = [0, a_1, a_2, \dots, a_n]$$

*

Euclid's algorithm

Is the expansion, $\frac{67}{29} = [2, 3, 4, 2] = [a_1, a_2, a_3, a_4]$

the only expansion of $\frac{67}{29}$ as a simple finite continued fraction ?

$$\frac{1}{a_4} = \frac{1}{2} = \frac{1}{1 + \frac{1}{1}}$$

$$\therefore \frac{67}{29} = [2, 3, 4, 1, 1]$$

* Every finite continued fraction ending with $a_n > 1$ has 2 forms:

(i) CF ending with $a_n > 1$, i.e., $[\dots, a_n]$

(ii) CF ending 1, i.e., replace the final a_n

by $(a_n - 1) + \frac{1}{1}$,

i.e., $[\dots, a_n - 1, 1]$

⇒ Any rational # $\frac{p}{q}$ can be expressed as a finite simple continued fraction in which the last term can be modified so as to make the # of terms in the expansion either even or odd.

Ex:-

Ex:- $\frac{-37}{44} = [-1, 6, 3, 2] = [-1, 6, 3, 1, 1] = [a_1, a_2, a_3, a_4, a_5]$

$$\begin{array}{r} 44 \overline{) -37} -1 \\ \underline{-44} \\ 7 \overline{) 44} 6 \\ \underline{42} \\ 2 \overline{) 7} 3 \\ \underline{6} \\ 1 \overline{) 2} 2 \\ \underline{2} \\ 0 \end{array}$$

Note:

We are in its

Ex:- $\frac{67 \times 3}{29 \times 3} = \frac{201}{87} = \frac{67}{29} = [2, 3, 4, 2]$

$$\begin{array}{r}
 27 \overline{) 201} \quad 2 \\
 \underline{174} \\
 27 \overline{) 27} \quad 3 \\
 \underline{81} \\
 6 \overline{) 27} \quad 4 \\
 \underline{24} \\
 3 \overline{) 6} \quad 2 \\
 \underline{6} \\
 0
 \end{array}$$

Note: If we calculated $[2, 3, 4, 2] = 2 + \frac{1}{3} + \frac{1}{4} + \frac{1}{2}$
 we would get back to $\frac{67}{29}$, not to $\frac{201}{87}$.

We always obtain a rational fraction $\frac{p}{q}$
 in its lowest form.

- * Any finite simple continued fraction represents a rational number.

Conversely,

any rational number $\frac{p}{q}$ can be represented as a finite simple continued fraction.

*



Convergents & their properties

$$[a_0, a_1, a_2, \dots, a_n] = \frac{1}{a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{\ddots + \frac{1}{a_n}}}}}$$

Any rational fraction P/Q could be expanded into a finite simple continued fraction

$$P/Q = [a_1, a_2, \dots, a_{n-1}, a_n]$$

where a_1 is a +ve or -ve integer or zero,

where, $a_2, a_3, \dots, a_n$ are +ve integers.

← The numbers $a_1, a_2, \dots, a_n$ are called the partial quotients or quotients of the continued fraction.

From these we can form the fractions,

$$C_1 = \frac{a_1}{1}, C_2 = a_1 + \frac{1}{a_2}, C_3 = a_1 + \frac{1}{a_2 + \frac{1}{a_3}}, \dots$$

obtained, in succession, by cutting off the expansion process after the 1st, 2nd, 3rd, ..., steps.

These fractions are called the first, second, third, ..., convergents, respectively, of the continued fraction.

The n^{th} convergent,

$$C_n = a_1 + \frac{1}{a_2 + \frac{1}{a_3 + \dots + \frac{1}{a_n}}} = [a_1, a_2, \dots, a_n]$$

is equal to the continued fraction itself.

$$[a_1, a_2, \dots, a_n] = \frac{p_n}{q_n}$$

where a_i is a positive integer or zero, and p_n, q_n are integers.

The numbers $a_1, a_2, \dots, a_n$ are called the partial quotients or terms of the continued fraction.

From this we can form the fractions:

$$C_1 = \frac{p_1}{q_1}, C_2 = \frac{p_2}{q_2}, C_3 = \frac{p_3}{q_3}, \dots, C_n = \frac{p_n}{q_n}$$

obtained by cutting off the expansion from after the 1st, 2nd, 3rd, ... steps. These fractions are called the first, second, third, ... convergents, respectively, of the continued fraction.

$C_1 =$
 $C_2 =$
 $C_3 =$
 $C_4 =$

and

$$C_1 = \frac{a_1}{1} = \frac{P_1}{q_1} \quad \text{where } P_1 = a_1, q_1 = 1.$$

$$C_2 = a_1 + \frac{1}{a_2} = \frac{a_1 a_2 + 1}{a_2} = \frac{P_2}{q_2}$$

$$\text{where } P_2 = a_1 a_2 + 1 \quad \text{and } q_2 = a_2.$$

$$C_3 = a_1 + \frac{1}{a_2} + \frac{1}{a_3} = a_1 + \frac{a_3}{a_2 a_3 + 1}$$

$$= \frac{a_1 a_2 a_3 + a_1 + a_3}{a_2 a_3 + 1} = \frac{P_3}{q_3}$$

$$C_4 = a_1 + \frac{1}{a_2} + \frac{1}{a_3} + \frac{1}{a_4}$$

$$= \frac{a_1 a_2 a_3 a_4 + a_1 a_2 + a_1 a_4 + a_3 a_4 + 1}{a_2 a_3 a_4 + a_2 + a_4} = \frac{P_4}{q_4}$$

$$\text{and so on.} = \frac{P_n}{q_n}$$

Look at the convergent C_3 .

$$C_3 = \frac{a_1 a_2 a_3 + a_1 + a_3}{a_2 a_3 + 1} = \frac{a_3 (a_1 a_2 + 1) + a_1}{a_3 (a_2 + 1)}$$

$$= \frac{a_3 p_2 + p_1}{a_3 q_2 + q_1} = \frac{p_3}{q_3}$$

$\therefore$

$$p_3 = a_3 p_2 + p_1$$

$$q_3 = a_3 q_2 + q_1$$

Similarly,

$$C_4 = \frac{a_4 (a_1 a_2 a_3 + a_1 + a_3) + (a_1 a_2 + 1)}{a_4 (a_2 a_3 + 1) + (a_2)}$$

$$= \frac{a_4 p_3 + p_2}{a_4 q_3 + q_2} = \frac{p_4}{q_4}$$

$$P_4 = a_4 P_3 + P_2$$

$$Q_4 = a_4 Q_3 + Q_2$$

In general,

$$C_i = [a_1, a_2, \dots, a_i] = \frac{P_i}{Q_i}, \quad i=1, 2, \dots$$

where,

$$P_i = a_i P_{i-1} + P_{i-2}$$

$$Q_i = a_i Q_{i-1} + Q_{i-2}$$

$$C_i = [a_1, a_2, \dots, a_i] = \frac{P_i}{Q_i} = \frac{a_i P_{i-1} + P_{i-2}}{a_i Q_{i-1} + Q_{i-2}}$$

* The numerators P_i and the denominators Q_i of the i^{th} convergent C_i of the continued fraction $[a_1, a_2, \dots, a_n]$ satisfy the equations:

$$P_i = a_i P_{i-1} + P_{i-2} \quad (i = 3, 4, 5, \dots, n)$$

$$Q_i = a_i Q_{i-1} + Q_{i-2}$$

with the initial values $P_1 = a_1, P_2 = a_2 a_1 + 1,$

$$Q_1 = 1, Q_2 = a_2 = [a_2] = \frac{a_2}{1}$$

Eq. 1.28

Proof The result is easily checked directly for the cases $i = 0, 1, 2$.

Assume that the theorem is true, or has been verified by direct calculation, for the integers $3, 4, 5, \dots$ up to some integer k ; i.e.,

$$C_j = [a_1, a_2, \dots, a_{j-1}, a_j] = \frac{P_j}{Q_j} = \frac{a_j P_{j-1} + P_{j-2}}{a_j Q_{j-1} + Q_{j-2}} \quad (1.30)$$

for $j = 3, 4, \dots, k-1, k$.

C_{k+1} differs from C_k only in having $(a_k + \frac{1}{a_{k+1}})$ in place of a_k .

i.e.,

$$C_k = a_1 + \frac{1}{a_2} + \frac{1}{a_3} + \dots + \frac{1}{a_{k-1}} + \frac{1}{a_k}$$

with

$$C_{k+1} = a_1 + \frac{1}{a_2} + \frac{1}{a_3} + \dots + \frac{1}{(a_k + \frac{1}{a_{k+1}})}$$

From (1.30),

$$C_k = [a_1, a_2, \dots, a_{k-1}, a_k] = \frac{P_k}{Q_k} = \frac{a_k P_{k-1} + P_{k-2}}{a_k Q_{k-1} + Q_{k-2}}$$

$$P_k = a_k P_{k-1} + P_{k-2}$$

$$Q_k = a_k Q_{k-1} + Q_{k-2}$$

(1.32)

The numbers p_{k-1}, q_{k-1} depend only upon the number a_{k-1} and the numbers $p_{k-2}, q_{k-2}, p_{k-3}, q_{k-3}$, all of which in turn depend upon preceding a 's, p 's and q 's.

$\therefore$ The numbers $p_{k-2}, q_{k-2}, p_{k-1}, q_{k-1}$ depend only upon the first $k-1$ quotients $a_1, a_2, \dots, a_{k-1}$ and hence are independent of a_k .

$\Rightarrow p_{k-2}, q_{k-2}, p_{k-1}, q_{k-1}$ will not change when a_k is replaced by $\left(a_k + \frac{1}{a_{k+1}}\right)$.

$$\begin{aligned} C_{k+1} &= \left[a_1, a_2, \dots, a_{k-1}, a_k + \frac{1}{a_{k+1}} \right] \\ &= \frac{\left(a_k + \frac{1}{a_{k+1}}\right) p_{k-1} + p_{k-2}}{\left(a_k + \frac{1}{a_{k+1}}\right) q_{k-1} + q_{k-2}} \end{aligned}$$

Xing

$C_{k+1} =$

From

$\therefore$

$C_{k+1} =$

Note: No

fact

also

$a_k +$

No

poor

Xing by q_{k+1} ,

$$C_{k+1} = \frac{(a_k q_{k+1} + 1) p_{k-1} + q_{k+1} p_{k-2}}{(a_k q_{k+1} + 1) q_{k-1} + q_{k+1} q_{k-2}} = \frac{a_{k+1} (a_k p_{k-1} + p_{k-2}) + p_{k-1}}{a_{k+1} (a_k q_{k-1} + q_{k-2}) + q_{k-1}}$$

From 1.32, $p_k = a_k p_{k-1} + p_{k-2}$

$$q_k = a_k q_{k-1} + q_{k-2}$$

$$\therefore C_{k+1} = \frac{a_{k+1} p_k + p_{k-1}}{a_{k+1} q_k + q_{k-1}} = \frac{p_{k+1}}{q_{k+1}}$$

Note: Nowhere in the proof we have used the fact that the quotients a_i are integers, although each a_i is an integer, the $\frac{p_{k+1}}{q_{k+1}}$ need not be one.

Nevertheless its substitution for a_k in the proof causes no breakdown of the argument.

$$P_i = a_i P_{i-1} + P_{i-2}$$

$$Q_i = a_i Q_{i-1} + Q_{i-2}$$

$$P_1 = a_1, Q_1 = 1; \quad P_2 = a_1 a_2 + 1, Q_2 = a_2$$

$$P_2 = a_2 P_1 + P_0 \quad \& \quad Q_2 = a_2 Q_1 + Q_0$$

$$a_1 a_2 + 1 = a_2 a_1 + P_0$$

$$a_2 = a_2 \cdot 1 + Q_0$$

$$\Rightarrow P_0 = 1$$

$$Q_0 = 0$$

$$P_i = a_i P_0 + P_{-1} \quad \& \quad Q_i = a_i Q_0 + Q_{-1}$$

$$a_1 = a_1 \cdot 1 + P_{-1}$$

$$1 = a_1 \cdot 0 + Q_{-1}$$

$$P_{-1} = 0$$

$$Q_{-1} = 1$$

$$\boxed{\begin{array}{l} P_0 = 1, Q_0 = 0 \\ P_{-1} = 0, Q_{-1} = 1 \end{array}}$$

* If $P_i = a_i P_{i-1} + P_{i-2}$ and $Q_i = a_i Q_{i-1} + Q_{i-2}$ are defined as such, then,

$$P_i Q_{i-1} - P_{i-1} Q_i = (-1)^i$$

Proof

Direct calculations show that the theorem is true for $i=0, 1, 2$.

$$i=0: P_0 Q_{-1} - P_{-1} Q_0 = 1 \cdot 1 - 0 \cdot 0 = 1 = (-1)^0$$

$$i=1: P_1 Q_0 - P_0 Q_1 = a_1 \cdot 0 - 1 \cdot 1 = -1 = (-1)^1$$

$$i=2: P_2 Q_1 - P_1 Q_2 = (a_2 Q_1 + 1) \cdot 1 - a_1 Q_2 = 1 = (-1)^2$$

From theorem 1.3, for $i=k+1$

$$P_{k+1} = a_{k+1} P_k + P_{k-1} \quad \& \quad Q_{k+1} = a_{k+1} Q_k + Q_{k-1}$$

Hence,

$$\begin{aligned}P_{k+1}q_k - P_k q_{k+1} &= (a_{k+1}P_k + P_{k-1})q_k - P_k(a_{k+1}q_k + q_{k-1}) \\&= \cancel{a_{k+1}P_k q_k} + P_{k-1}q_k - \cancel{a_{k+1}P_k q_k} - P_k q_{k-1} \\&= (-1)(P_k q_{k-1} - P_{k-1}q_k)\end{aligned}$$

Assume that the theorem holds for $i=k$,
i.e.,

$$P_k q_{k-1} - P_{k-1} q_k = (-1)^k$$

$$\therefore P_{k+1} q_k - P_k q_{k+1} = (-1)(-1)^k = (-1)^{k+1}$$

$\Rightarrow$ the theorem holds for $i=k+1$ ∇
it holds for $i=k$.

* Every convergent $C_i = \frac{p_i}{q_i}$, $i \geq 1$, of a simple continued fraction is in its lowest terms.

i.e., $\boxed{\gcd(p_i, q_i) = 1}$

Proof

$$p_i q_{i-1} - p_{i-1} q_i = (-1)^i$$

$\Rightarrow$ Any # which divides both p_i and q_i must be a divisor of $(-1)^i$.

Only divisors of $(-1)^i$ are $+1$ and -1 .

$\therefore +1$ and -1 are the only common divisors of p_i and q_i .

$$\Rightarrow \underline{\underline{\gcd(p_i, q_i) = 1}}$$

Dirichlet's Approximation Theorem

For each real number x and natural number n , there exists integers p and q such that $1 \leq q \leq n$ with

$$\left| x - \frac{p}{q} \right| < \frac{1}{nq} \leq \frac{1}{q^2}$$

In particular, $|qx - p| < \frac{1}{n}$

- * In number theory, Dirichlet's theorem on Diophantine approximation, also called Dirichlet's approximation theorem, attempts to show that any real # has a sequence of good rational approximations.

Proof

Let x be any real $\neq$ and n be a
true integer.

Define α_k so that $kn = \alpha_k \pmod{1}$

$$\therefore kn = m_k + \alpha_k \quad \forall k = 0, 1, \dots, n$$

such that $m_k \in \mathbb{Z}$ and $\alpha_k \in [0, 1)$

One can divide the interval $[0, 1)$ into
 n smaller intervals of measure $\frac{1}{n}$.

$\therefore$ We have $n+1$ number of α_k and
 n intervals.

The Pigeonhole principle $\Rightarrow$ At least two of x_k 's
are in the same interval!
say x_i and x_j with $i < j$.

$\therefore$ There are distinct $i \neq j$ in the range
 $0, 1, \dots, n$ such that $|x_j - x_i| < \frac{1}{n}$

$$|(j-i)x - (m_j - m_i)| = |jx - m_j - (ix - m_i)| = |x_j - x_i| < \frac{1}{n}$$

Dividing both sides by $j-i$ will result
in :

$$\left| x - \frac{m_j - m_i}{j-i} \right| < \frac{1}{(j-i)n} \leq \frac{1}{(j-i)^2}$$

* For each real number x and natural number n , there exists integers p and q with $1 \leq q \leq n$ and $\gcd(p, q) = 1$ such that,

$$|qx - p| \leq \frac{1}{n} \quad (\text{or}) \quad \left| x - \frac{p}{q} \right| \leq \frac{1}{nq}$$

Proof.

Last theorem proves that there exists

p & q such that $\left| x - \frac{p}{q} \right| < \frac{1}{nq}$.

Once such p, q have been found, then a coprime pair can be found by dividing numerator and denominator of $\frac{p}{q}$ by $\gcd(p, q)$.

say, $p' = p / \gcd(p, q)$ and $q' = \frac{q}{\gcd(p, q)}$

$$\gcd(ma, mb) = m \gcd(a, b)$$

$$\therefore \gcd(p', q') = \frac{1}{\gcd(p, q)} \gcd(p, q) = 1$$

Proof

Let d be a common divisor of p and q . Then d divides p and q .

$$p = d \cdot p' \quad q = d \cdot q'$$

Now, each of p' and q' have been found to be coprime. A common divisor of p' and q' can be found by dividing numerator and denominator of $\frac{p'}{q'}$ by $\gcd(p', q')$.