

Introduction to Linear Algebra  
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15

Linear Transformations



Complex Vectors & Matrices



Linear Algebra for Cryptography



Note Book

15

*School / College :* .....

[illegible]

8-1

1. A linear transformation must leave the zero vector fixed:  $T(0) = 0$ . Prove this from  $T(v+w) = T(v) + T(w)$  by choosing  $w = \underline{\hspace{2cm}}$ .  
 Prove it also from  $T(cv) = cT(v)$  by choosing  $c = \underline{\hspace{2cm}}$ .

Ans: Take  $w = 0$ ,

$$T(v+0) = T(v) + T(0) = T(v)$$

$$\implies \underline{T(0) = 0}$$

(OR)

$$c = 0,$$

$$\underline{T(0v) = T(0) = 0}$$

4. If  $S$  &  $T$  are linear transformations, is

$T(S(v))$  linear or quadratic

@ (special case) if  $S(v) = v$  and  $T(v) = v$ , then  
 $T(S(v)) = v$  or  $v^2$ ?

Ans:  $T(S(v)) = v$

⑥ (General case)

$$S(v_1 + v_2) = S(v_1) + S(v_2) \quad \text{and} \quad T(v_1 + v_2) = T(v_1) + T(v_2)$$

Ans.

$$\begin{aligned} T(S(v_1 + v_2)) &= T(S(v_1) + S(v_2)) \\ &= T(S(v_1)) + T(S(v_2)) \end{aligned}$$

10. A linear transformation from  $V$  to  $W$  has an inverse from  $W$  to  $V$  when the range is all of  $W$  and the kernel contains only  $v=0$ . Then  $T(v)=w$  has one solution  $v$  for each  $w$  in  $W$ . Why are these  $T$ 's not invertible?

$$\textcircled{a} \quad T(v_1, v_2) = (v_2, v_2), \quad W = \mathbb{R}^2$$

Ans:  $T(0,0) = (0,0) = 0$

$$(5) T(v_1, v_2) = (v_1, v_2, v_1 + v_2) \quad W = \mathbb{R}^3$$

Ans:  $(0, 1, 2)$  is not in the range.

$$(c) \cdot T(v_1, v_2) = v_1 \quad W = \mathbb{R}^1$$

Ans:  $T(0, 1) = 0$

12. A linear transformation transforms  $(1, 1)$  to  $(2, 2)$  and  $(2, 0)$  to  $(0, 0)$ . Find  $T(v)$

Ans:  $v = (2, 2)$

Ans:  $v = (2, 2) = 2(1, 1)$

$$T(v) = 2T(1, 1) = 2(2, 2) = (4, 4)$$

(d)  $v = (a, b)$

Ans:  $v = (a, b) = \frac{a+b}{2}(1, 1) + \frac{a-b}{2}(2, 0)$

$$T(v) = T(a, b) = \frac{a+b}{2}T(1, 1) + \frac{a-b}{2}T(2, 0) = \frac{a+b}{2}(2, 2) + \frac{a-b}{2}(0, 0) = \frac{a+b}{2}(2, 2)$$

16.  $A = \begin{bmatrix} 1 & 2 \\ 3 & 6 \end{bmatrix}$ . Show that the identity matrix  $I$  is not in the range of  $T$ . Find a non-zero matrix  $M$  such that  $T(M) = AM$  is zero.

Ans:  $A$  is not invertible

$\Rightarrow AM = I$  is impossible.

~~$$A = \begin{bmatrix} 1 & 2 \\ 3 & 6 \end{bmatrix}$$~~

$$\begin{bmatrix} 1 & 2 \\ 3 & 6 \end{bmatrix} M = \begin{bmatrix} (1 \ 2) M \\ (3 \ 6) M \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

$$M = \begin{bmatrix} 2 & 2 \\ -1 & -1 \end{bmatrix}$$

16. ~~16.~~  $T$  transposes every  $2 \times 2$  matrix  $M$ .  
Try to find a matrix  $A$  which gives  $AM = M^T$ .  
Show that no matrix  $A$  will do it.

Is this a linear transformation that doesn't come from a matrix?

The matrix should be  $4 \times 4$

ILA (11)  
8.2(A)

ILA (14)  
Back side

Ans: Let  $M = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}$ ,  $M^T = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}$

$$AM = A \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix} = \begin{bmatrix} A \begin{bmatrix} 0 \\ 0 \end{bmatrix} & A \begin{bmatrix} 1 \\ 0 \end{bmatrix} \end{bmatrix} = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}$$

$$A \begin{bmatrix} 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix} \neq \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

No matrix  $A$  gives  $A \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}$

We have the linear transformation

$$T: M_{2 \times 2}(\mathbb{R}) \longrightarrow M_{2 \times 2}(\mathbb{R})$$

$$A \longrightarrow A^T$$

ILA 14  
Back side

You have to go thro' an isomorphism b/w  $M_{2 \times 2}(\mathbb{R})$  and  $\mathbb{R}^{2^2} = \mathbb{R}^4$

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \in M_{2 \times 2}(\mathbb{R}) \xrightarrow{\cong} \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix} \in \mathbb{R}^{2^2} = \mathbb{R}^4$$

$$\begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} = M \quad ; \quad \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} = M$$

$$\begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} A \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} A = MA$$

$$\begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix} \xrightarrow{T} \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix} ; \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix} \xrightarrow{T} \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix} ; \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix} \xrightarrow{T} \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix} ; \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} \xrightarrow{T} \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}$$

We can represent the linear transformation  $T$  with the representation matrix,

$$M_T = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

- \* The vector space of  $n \times n$  matrices is  $n^2$ -dimensional. Hence, the matrix representation of the linear map  $A \mapsto A^T$  would have to be  $n^2 \times n^2$ .

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix} = \begin{bmatrix} a \\ c \\ b \\ d \end{bmatrix}$$

$$\begin{bmatrix} a & c \\ b & d \end{bmatrix} = A^T$$

$$\begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}$$

ional.

18.  $T(M) = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$ . Find a matrix with

$T(M) \neq 0$ . Describe all matrices with  $T(M) = 0$  (the kernel) and all of matrices  $T(M)$  (the range)

Ans:  $T(M) = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & b \\ 0 & d \end{bmatrix} = \begin{bmatrix} 0 & b \\ 0 & 0 \end{bmatrix}$

Every  $M = \begin{bmatrix} a & 0 \\ c & d \end{bmatrix}$  is in the kernel.

$M = \begin{bmatrix} 0 & b \\ 0 & 0 \end{bmatrix}$  fill the range.

$\dim(\text{range}) + \dim(\text{kernel}) = 1 + 3 = 4 = \dim$  of  
vector space of  $2 \times 2$   $M$ 's.

19. If  $A$  &  $B$  are invertible and  $T(M) = AMB$ ,  
find  $T^{-1}(M)$  in the form  $( ) M ( )$

Ans:  $T(T^{-1}(M)) = M$

$$T^{-1}(M) = A^{-1}MB^{-1}$$

20. How can you tell from the picture of  $T(\text{house})$  that 'A' is \_\_\_\_\_

$$T(H) = AH$$

• (a) diagonal matrix

Ans: Horizontal lines stay horizontal,  
vertical lines stay vertical.

(b) rank-one matrix

Ans: House squashes onto a line

(c) lower triangular matrix

Ans: Vertical lines stay vertical

(house)

Q2. What are the conditions on  $A = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$  to ensure that  $T(\text{house})$  will

(a) sit straight up.

Ans:  $A = \begin{bmatrix} a & 0 \\ 0 & d \end{bmatrix}, d > 0$

(b) expand the house by 3 in all directions?

Ans:  $A = 3I$

$$\begin{bmatrix} 3 & 0 \\ 0 & 3 \end{bmatrix}$$

$$I = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \Rightarrow |I| = 1$$

$$0 < d < \infty$$

$$(\lambda) \text{ as } A \cdot (1) \text{ det} = (\lambda) \text{ det}$$

$$I = | \text{det } A |$$

29. What conditions on  $\det(A) = ad - bc$  ensure that the output house  $AH$  will

(a) be squashed onto a line

Ans:  $ad - bc = 0$

(b) keep its endpoints in clockwise order (not reflected)

Ans:  $\text{Ref}(\theta) = \begin{bmatrix} \cos 2\theta & \sin 2\theta \\ \sin 2\theta & -\cos 2\theta \end{bmatrix}$

$$\det(\text{Ref}(\theta)) = -[\cos^2 2\theta + \sin^2 2\theta] = -1$$

$$ad - bc > 0$$

(c) have the same area as the original house?

Ans:  $\text{Area}(T(R)) = |\det(A)| \cdot \text{Area}(R)$

$$\underline{\underline{|\det(A)| = 1}}$$

30. Why does every linear transformation  $T$  from  $\mathbb{R}^2$  to  $\mathbb{R}^2$  take squares to keloograms?

Rectangles also goes to keloograms. (Squashed if  $T$  is not invertible)

Ans:

Linear transformations keep straight lines straight. !

$$\vec{u} = \frac{a\vec{v} + b\vec{w}}{a+b} \Rightarrow T(\vec{u}) = \frac{aT(\vec{v}) + bT(\vec{w})}{a+b}$$

And 2 lld edges of a square (edges differing by a fixed  $\vec{v}$ ) go to two lld edges (edges differing by  $T(\vec{v})$ ).

$\therefore$  o/p is a keloogram.

8.2

1. The transformation  $S$  takes the 2<sup>nd</sup> derivative. Keep  $1, x, x^2, x^3$  as the i/p basis  $v_1, v_2, v_3, v_4$  and also as o/p basis  $w_1, w_2, w_3, w_4$ . Write  $S(v_1), S(v_2), S(v_3), S(v_4)$  in terms of the  $w$ 's. Find the  $4 \times 4$  matrix  $A_2$  for  $S$ .

Ans.  $Sv = \frac{d^2 v}{dx^2}$

$$v_1, v_2, v_3, v_4 = 1, x, x^2, x^3$$

$$S(v_1) = S(v_2) = 0, S(v_3) = 2 = 2v_1$$

$$S(v_4) = 6x = 6v_2$$

Matrix for  $S$  is,

$$B = \begin{bmatrix} 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 6 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

2. What functions have  $S(v) = 0$  ?

ie., kernel of the 2<sup>nd</sup> derivative  $S$ .

What are the vectors in the  $N(A)$  ?

Ans:  $S(v) = \frac{d^2 v}{dx^2} = 0$  for linear functions

$$v(x) = \cancel{ax+b} \\ ax+b$$

$\Rightarrow$   $\begin{bmatrix} a \\ b \\ 0 \\ 0 \end{bmatrix}$  are in  $N(B)$ .

3. The 2<sup>nd</sup> derivative  $A_2 = \begin{bmatrix} 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 6 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$

is not the square of a rectangular 1<sup>st</sup> derivative

matrix  $A_1 = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 3 \end{bmatrix}$  does not allow  $A_1^2 = A_2$

Add a zero row 4 to  $A_1$  so that  
o/p space = i/p space. Compare  $A_1^2$  with  $A_2$ .

Conclusion: we want o/p basis = ——— basis. Then  $m=n$ .

Ans:

Ans:

$$A_2^2 =$$

Ans:

$$A_1^2 = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 3 \\ 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 3 \\ 0 & 0 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 6 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} = A_2$$

o/p basis = i/p basis

4. The product TS of 1<sup>st</sup> and 2<sup>nd</sup> derivatives produces the 3<sup>rd</sup> derivative. Add zeros to make 4x4 matrices, then compute  $A_1 A_2 = A_3$

Ans:

$$A_3 = A_1 A_2 = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 3 \\ 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 6 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 & 6 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} = A_3$$

$A_4 = A_5 = 0$  since 4<sup>th</sup> derivative of cubic is zero.

5. With bases  $v_1, v_2, v_3$  and  $w_1, w_2, w_3$   
 Suppose  $T(v_1) = w_2$  and  $T(v_2) = T(v_3) = w_1 + w_3$ .

$T$  is a linear transformation. Find the matrix  $A$  and multiply by the vector  $(1, 1, 1)$ . What's the o/p from  $T$  when the i/p is  $v_1 + v_2 + v_3$ ?

Ans:  $T(v_1 + v_2 + v_3) = w_2 + (w_1 + w_3) + (w_1 + w_3)$   
 $= 2w_1 + w_2 + 2w_3$

$$A = \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 1 \end{bmatrix}$$

$$A \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} = \begin{bmatrix} 2 \\ 1 \\ 2 \end{bmatrix}$$

6.

Ans:

7.

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Ans:

6. Since  $T(v_2) = T(v_3)$ , the solutions to  $T(v) = 0$  are

$v = \underline{\hspace{2cm}}$ .

What vectors are in the  $N(A)$ ?

Find all solutions to  $T(v) = w_2$ .

Ans:  $T(v_2) - T(v_3) = T(v_2 - v_3) = 0$ .

$v = c(v_2 - v_3)$  gives  $T(v) = 0$ .

$N(A) = c \begin{bmatrix} 0 \\ 1 \\ -1 \end{bmatrix}$

Solutions to  $T(v) = w_2$  are

$v_p + v_n = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} + c \begin{bmatrix} 0 \\ 1 \\ -1 \end{bmatrix}$

$T(v_1) = w_2$

$N_p = v_1$

7. Find a vector that is not in the  $C(A)$ .

Find a combination of  $w$ s that is not in the range of the transformation  $T$ .

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Ans:  $\begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} \notin C(A)$

$\begin{bmatrix} 1 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix} = w_1 A$

$$T(v_1) = w_2 = 0w_1 + 1w_2 + 0w_3$$

$$T(v_2) = w_1 + w_3 = 1w_1 + 0w_2 + 1w_3$$

$$T(v_3) = w_1 + w_3 = 1w_1 + 0w_2 + 1w_3$$

$$T: V \rightarrow W$$

The matrix for the linear transformation w.r.t bases  $V$  and  $W$  is:

$$A_{vw} = [T(v_1)]_W, [T(v_2)]_W, [T(v_3)]_W$$

~~$$= [w_2], [w_1 + w_3], [w_1 + w_3]$$~~

~~$$= [T(v_1)]_W = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, [T(v_2)]_W = \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix} = [T(v_3)]_W$$~~

$$A_{vw} = \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 1 \end{bmatrix}$$

~~$$= \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 1 \end{bmatrix}$$~~

$$A_{vw} [x]_v = [x]_w$$

$$A^T = \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 1 & 0 & 1 \end{bmatrix}$$

$$\Rightarrow \begin{aligned} b &= 0 \\ a+c &= 0 \end{aligned}$$

$$[v] = (v)^T$$

$$\begin{bmatrix} a \\ b \\ c \end{bmatrix} = t \begin{bmatrix} 1 \\ 0 \\ -1 \end{bmatrix}$$

$$\begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

$$\notin C(A)$$

since

$$\begin{vmatrix} 0 & 1 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{vmatrix} = -1 \neq 0$$

$$= -1 \neq 0$$

$$\begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

$$= 1W_1 + 0W_2 + 0W_3 = W_1$$

$$W \notin \text{range}(T)$$

$$\text{Let } v = av_1 + bv_2 + cv_3 \in V = \left[ \begin{array}{c} x \\ y \\ z \end{array} \right] = \left[ \begin{array}{c} 1 \\ 0 \\ 0 \end{array} \right] = w_1$$

$$T(v) = w_1$$

$$aT(v_1) + bT(v_2) + cT(v_3) = w_1$$

$$aw_2 + b(w_1 + w_3) + c(w_1 + w_3) = w_1$$

$$w_1(b+c-1) + aw_2 + w_3(b+c) = 0$$

$$b+c=1 \quad \& \quad b+c=0$$

Not possible

$$\therefore w_1 \notin \text{range}(T)$$

$$W = w_0 + w_0 + w_1 = \left[ \begin{array}{c} 1 \\ 1 \\ 0 \end{array} \right]$$

$$(T \text{ range } \phi) W$$

8. You don't have enough information to determine  $T^2$ .

Why is its matrix not necessarily  $A^2$ ?

What more information do you need?

Ans:

We don't know  $T(w)$  unless the  $w$ 's are the same as the  $v$ 's.

In that case, the matrix is  $A^2$ .

10. Suppose,  $T(v_1) = w_1 + w_2 + w_3$  and  $T(v_2) = w_2 + w_3$  and  $T(v_3) = w_3$ . Find the matrix  $A$  for  $T$  using these basis vectors.

What i/p vector  $v$  gives  $T(v) = w_1$ ?

Ans:  $T(v_1) = 1w_1 + 1w_2 + 1w_3 \Rightarrow [T(v_1)]_w = (1, 1, 1)$

$$T(v_2) = 0w_1 + 1w_2 + 1w_3 \Rightarrow [T(v_2)]_w = (0, 1, 1)$$

$$T(v_3) = 0w_1 + 0w_2 + 1w_3 \Rightarrow [T(v_3)]_w = (0, 0, 1)$$

The matrix for  $T$  is

$$A_{vW} = \begin{bmatrix} [T(v_1)]_W & [T(v_2)]_W & [T(v_3)]_W \end{bmatrix}$$

$$= \begin{bmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 1 & -1 & 1 \end{bmatrix}$$

~~$A_{vW}^{-1}$~~

~~$$T(v) = A_{vW} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$$~~

~~$$v = A^{-1} \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ -1 & 1 & 0 \\ 0 & -1 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$~~

$A_{vW}^{-1}$

$$T(v) = W_1 = T(v_1) - T(v_2) = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

$$v = A^{-1} \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ -1 & 1 & 0 \\ 0 & -1 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ -1 \\ 0 \end{bmatrix} = v_1 - v_2$$

11. Invert the matrix  $A$  in problem 10.

Also invert the transformation  $T$  —

What are  $T^{-1}(w_1)$ ,  $T^{-1}(w_2)$  &  $T^{-1}(w_3)$ ?

Ans:

$$A^{-1} = \begin{bmatrix} 1 & 0 & 0 \\ -1 & 1 & 0 \\ 0 & -1 & 1 \end{bmatrix}$$

$$T^{-1}(w_1) = v_1 - w_2 = v_1 - w_2 + 0w_3$$

$$T^{-1}(w_2) = v_2 - w_3 = 0v_1 + v_2 - v_3$$

$$T^{-1}(w_3) = v_3 = 0v_1 + 0v_2 + v_3$$

$$T^{-1}: W \rightarrow V$$

$$A_{wv} = \begin{bmatrix} 1 & 0 & 0 \\ -1 & 1 & 0 \\ 0 & -1 & 1 \end{bmatrix} = A_{vw}^{-1}$$

13. Suppose the spaces  $V$  and  $W$  have the same basis  $V_1, V_2$ .

(a) Describe a transformation  $T$  (not  $I$ ) that is its own inverse

Ans:

$$T^2 = I$$

$$T(V_1) = V_2$$

$$T(V_2) = V_1$$

$$\left. \begin{array}{l} T(V_1) = V_2 \\ T(V_2) = V_1 \end{array} \right\} A = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

(b) Describe a transformation  $T$  (not  $I$ ) that equals  $T^2$

Ans:

$$T^2 = T$$

$$T(V_1) = V_1$$

$$T(V_2) = 0$$

$$\left. \begin{array}{l} T(V_1) = V_1 \\ T(V_2) = 0 \end{array} \right\} A = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$$

(c) Why can't the same  $T$  be used for both (a) and (b)?

Ans:

$T$  must be  $I$

$$T^2 = T = I \quad \begin{array}{l} \left[ \begin{array}{c} 0 \\ 1 \end{array} \right] = \left[ \begin{array}{c} 1 \\ 0 \end{array} \right] A \\ \left[ \begin{array}{c} 1 \\ 0 \end{array} \right] = \left[ \begin{array}{c} 0 \\ 1 \end{array} \right] A \end{array}$$

14. (a) What matrix  $B$  transforms  $(1,0)$  to  $(2,5)$  and transforms  $(0,1)$  to  $(1,3)$ ?

Ans:  $B = [T(1,0) \ T(0,1)] = \begin{bmatrix} 2 & 1 \\ 5 & 3 \end{bmatrix}$

(b) What matrix  $C$  transforms  $(2,5)$  to  $(1,0)$  and  $(1,3)$  to  $(0,1)$ ?

Ans:  ~~$C = [T(2,5) \ T(1,3)] = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$~~

$$C = B^{-1} = \begin{bmatrix} 3 & -5 \\ -1 & 2 \end{bmatrix}^{-1} = \begin{bmatrix} 3 & -1 \\ -5 & 2 \end{bmatrix}$$

(c) Why does no matrix transform  $(2,6)$  to  $(1,0)$  and  $(1,3)$  to  $(0,1)$ ?

Ans:  $A \begin{bmatrix} 1 \\ 3 \end{bmatrix} = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$

$\hookrightarrow A \begin{bmatrix} 2 \\ 6 \end{bmatrix} = 2A \begin{bmatrix} 1 \\ 3 \end{bmatrix} = 2 \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ 2 \end{bmatrix} \neq \begin{bmatrix} 1 \\ 0 \end{bmatrix}.$

15.

Ⓐ What matrix  $M$  transforms  $(1,0)$  and  $(0,1)$  to  $(r,t)$  and  $(s,u)$ ?

Ans:  $M = [T(0,1) \ T(1,0)] = \begin{bmatrix} r & s \\ t & u \end{bmatrix}$

Ⓑ What matrix  $N$  transforms  $(a,c)$  and  $(b,d)$  to  $(1,0)$  and  $(0,1)$ ?

Ans:  $N = \begin{bmatrix} a & b \\ c & d \end{bmatrix}^{-1} = \begin{bmatrix} a & -c \\ -b & d \end{bmatrix}$

Ⓒ What condition on  $a, b, c, d$  will make part Ⓑ impossible?

Ans:  $ad - bc = 0$

16. Ⓐ How does  $M$  &  $N$  yield the matrix that transforms  $(a,c)$  to  $(r,t)$  and  $(b,d)$  to  $(s,u)$ ?

Ans:

~~$MN$~~

$MN$

⑥ What matrix transforms  $(2, 5)$  to  $(1, 1)$  and  $(1, 3)$  to  $(0, 2)$ ?

Ans:  $\begin{bmatrix} 2 & 1 \\ 5 & 3 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 1 & 2 \end{bmatrix} \begin{bmatrix} 2 & 1 \\ 5 & 3 \end{bmatrix}^{-1}$

$$\begin{bmatrix} 1 & 0 \\ 1 & 2 \end{bmatrix} \begin{bmatrix} 2 & -5 \\ -1 & 2 \end{bmatrix}^{-1} = \begin{bmatrix} 1 & 0 \\ 1 & 2 \end{bmatrix} \begin{bmatrix} 3 & -1 \\ -5 & 2 \end{bmatrix} = \begin{bmatrix} 3 & -1 \\ -7 & 2 \end{bmatrix}$$

17.

If you keep the same basis vectors but put them in different order, the change of basis matrix  $B$  is a permutation matrix.

If you keep the basis vectors in order but change their lengths,  $B$  is a positive diagonal matrix.

Proof  
2

18. The matrix that rotates the axis vectors  $(1,0)$  and  $(0,1)$  thro' an angle  $\theta$  is  $Q$ . What are the coordinates  $(a,b)$  of the original  $(1,0)$  using the new (rotated) axes?

$$Q = \begin{bmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{bmatrix} \quad \& \quad \begin{bmatrix} 1 \\ 0 \end{bmatrix} = a \begin{bmatrix} \cos\theta \\ \sin\theta \end{bmatrix} + b \begin{bmatrix} -\sin\theta \\ \cos\theta \end{bmatrix}$$

$$\Rightarrow a = \cos\theta, b = -\sin\theta$$

$$Q(1,0) = (\cos\theta, \sin\theta) \quad \& \quad Q(0,1) = (-\sin\theta, \cos\theta)$$

Ans:

$$\begin{aligned} [a,b]_{\text{new}} &= P_{\text{new} \leftarrow \text{old}} [a,b]_{\text{old}} \\ &= \begin{bmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{bmatrix}^{-1} \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{bmatrix}^{-1} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \\ &= \begin{bmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} \cos\theta \\ -\sin\theta \end{bmatrix} \end{aligned}$$

19. The matrix that transforms  $(110)$  &  $(011)$  to  
 @  $(114)$  &  $(115)$  is  $B = \underline{\hspace{2cm}}$ .

Ans:  $B = \begin{bmatrix} 1 & 1 \\ 4 & 5 \end{bmatrix}$

⑥ The combinations  $a(114) + b(115)$  that  
 equals  $(110)$  has  $(a, b) = \underline{\hspace{2cm}}$

Ans:  $(110)$  is the basis of  $\{(114) \text{ & } (115)\}$

$$[(110)]_{\text{new}} = P_{\text{new} \leftarrow \text{old}} [(110)]_{\text{old}} = B^{-1} \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

$$= \begin{bmatrix} 1 & 1 \\ 4 & 5 \end{bmatrix}^{-1} \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 5 & -4 \\ -1 & 1 \end{bmatrix}^T \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

$$= \begin{bmatrix} 5 & -1 \\ -4 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 5 \\ -4 \end{bmatrix}$$

20. The parabola  $w_1 = \frac{1}{2}(x^2 + x)$  equals 1 at  $x=1$ , and 0 at  $x=0$  and  $x=-1$ . Find the parabolas  $w_2, w_3$  and find  $y(x)$  by linearity.

(a)  $w_2 = 1$  at  $x=0$  and  $w_2 = 0$  at  $x=1$  &  $x=-1$

Ans:  $w = ax^2 + bx + c$

$$w_2(0) = c = 1; \quad w_2(1) = a + b + 1 = 0; \quad w_2(-1) = a - b + 1 = 0$$

$$\begin{array}{r} a - b + 1 = 0 \\ \hline \end{array}$$

$$2a + 2 = 0 \Rightarrow a = -1, \quad b = 0.$$

$$\underline{w_2 = -x^2 + 1}$$

(b)  $w_3 = 1$  at  $x=-1$  and  $w_3 = 0$  at  $x=0$  &  $x=1$

Ans:  $w_3(-1) = a - b + c = 1; \quad w_3(0) = c = 0; \quad w_3(1) = a + b = 0$

$$a - b = 1$$

$$\begin{array}{r} a + b = 0 \\ \hline 2a = 1 \end{array} \Rightarrow a = \frac{1}{2}; \quad b = -\frac{1}{2}; \quad c = 0$$

$$w_3 = \frac{1}{2}(x^2 - x)$$

(c)  $y(x) = 4$  at  $x=1$  &  $y(x) = 5$  at  $x=0$  &  $y(x) = 6$  at  $x=-1$ .

$y(x) = 6$  at  $x=-1$ .

$y(x) = ax^2 + bx + c$

Ans:

$y(1) = a + b + c = 4$ ;  $y(0) = c = 5$

$y(-1) = a - b + c = 6$

$2a + 10 = 10 \Rightarrow a = 0$

$b = a + c - 6 = 5 - 6 = -1$

$a = 0, b = -1, c = 5$

$y(x) = -x + 5 \rightarrow [0, -1, 5]$

$w_1 = \frac{1}{2}(x^2 + x) = \frac{1}{2}x^2 + \frac{1}{2}x + 0 \rightarrow [\frac{1}{2}, \frac{1}{2}, 0]$

$w_2 = -x^2 + 1 = -x^2 + 0x + 1 \rightarrow [-1, 0, 1]$

$w_3 = \frac{1}{2}(x^2 - x) = \frac{1}{2}x^2 - \frac{1}{2}x + 0 \rightarrow [\frac{1}{2}, -\frac{1}{2}, 0]$

$[0, -1, 1]_B = P_{B \leftarrow E} [0, -1, 5]$

$= \begin{bmatrix} \frac{1}{2} & -1 & \frac{1}{2} \\ \frac{1}{2} & 0 & -\frac{1}{2} \\ 0 & 1 & 0 \end{bmatrix}^{-1} \begin{bmatrix} 0 \\ -1 \\ 5 \end{bmatrix}$

$$= \begin{bmatrix} 1 & 1 & 1 \\ 0 & 0 & 1 \\ 1 & -1 & 1 \end{bmatrix} \begin{bmatrix} 0 \\ -1 \\ 5 \end{bmatrix} = \begin{bmatrix} 4 \\ 5 \\ 8 \end{bmatrix}$$

$$y(x) = -x + 5 = 4\omega_1 + 5\omega_2 + 6\omega_3$$

Q3. Under what conditions on the numbers  $m_1, m_2, \dots, m_9$  do these 3 parabolas give a basis for the space of all parabolas  $a + bx + cx^2$ ?

$$v_1 = m_1 + m_2x + m_3x^2$$

$$v_2 = m_4 + m_5x + m_6x^2$$

$$v_3 = m_7 + m_8x + m_9x^2$$

Ans:

The change of basis matrix is invertible

$$M = \begin{bmatrix} m_1 & m_2 & m_3 \\ m_4 & m_5 & m_6 \\ m_7 & m_8 & m_9 \end{bmatrix} \text{ is invertible}$$

24. The Gram-Schmidt process changes a basis  $a_1, a_2, a_3$  to an orthonormal basis  $q_1, q_2, q_3$ . These are the columns in  $A = QR$ . Show that  $R$  is the change of basis matrix from the  $a$ 's to the  $q$ 's.

Ans:  $A = QR$

$$\begin{bmatrix} a_1 & a_2 & a_3 \end{bmatrix} = \begin{bmatrix} q_1 & q_2 & q_3 \end{bmatrix} \begin{bmatrix} q_1^T a_1 & q_2^T a_1 & q_3^T a_1 \\ 0 & q_2^T a_2 & q_3^T a_2 \\ 0 & 0 & q_3^T a_3 \end{bmatrix}$$

$$a_1 = (q_1^T a_1) q_1$$

$$a_2 = (q_1^T a_2) q_1 + (q_2^T a_2) q_2$$

$$a_3 = (q_1^T a_3) q_1 + (q_2^T a_3) q_2 + (q_3^T a_3) q_3$$

$\Rightarrow a_i$  as a combination of  $q_i$

$\therefore R$  is a change of basis matrix.

Q.R.

25. Elimination changes the rows of  $A$  to the rows of  $U$  with  $A=LU$ . Row 2 of  $A$  is what combination of the rows of  $U$ ?

Writing  $A^T = U^T L^T$  to work with columns.

The change of basis matrix is  $B = L^T$ .

We have bases if the matrices are \_\_\_\_\_

Ans. Row 2 of  $A = l_{21}(\text{row 1 of } U) + l_{22}(\text{row 2 of } U)$

The change of basis matrix is always invertible.

26. Suppose,  $v_1, v_2, v_3$  are eigenvectors for  $T$ .

This means  $T(v_i) = \lambda_i v_i$  for  $i = 1, 2, 3$ .

What is the matrix for  $T$  when the i/p and o/p bases are the  $v$ 's.  $\nabla$

Ans:

$$Av_1 = \lambda_1 v_1 + 0v_2 + 0v_3$$

$$Av_2 = 0v_1 + \lambda_2 v_2 + 0v_3$$

$$Av_3 = 0v_1 + 0v_2 + \lambda_3 v_3$$

$$A = \begin{bmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{bmatrix} = \text{diag}(\lambda_1, \lambda_2, \lambda_3)$$

27. Every invertible linear transformation can have  $I$  as its matrix! Choose any i/p basis  $v_1, v_2, \dots, v_n$ . For o/p basis choose  $w_i = T(v_i)$ . Why must  $T$  be invertible?

Ans: If  $T$  is not invertible,  $T(v_1) \dots T(v_n)$  is not a basis.

We couldn't choose  $w_i = T(v_i)$

ex. Using  $v_1 = w_1$  &  $v_2 = w_2$  find the standard matrix for these  $T$ s:

(a)  $T(v_1) = 0$  &  $T(v_2) = 3v_1$

Ans:  $[T] = \begin{bmatrix} 0 & 3 \\ 0 & 0 \end{bmatrix}$

(b)  $T(v_1) = v_1$  and  $T(v_1 + v_2) = v_1$

Ans:

~~$[T] = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$~~

$T(v_1) = v_1$

$T(v_2) = 0$

$\left. \begin{array}{l} T(v_1) = v_1 \\ T(v_2) = 0 \end{array} \right\} \begin{array}{l} T(v_1 + v_2) = T(v_1) + T(v_2) \\ = v_1 + 0 = v_1 \end{array}$

$[T] = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$

29. Suppose  $T$  reflects the  $xy$ -plane across the  $x$ -axis &  $S$  is reflection across the  $y$ -axis. If  $V = (x, y)$ , what is  $S(T(V))$ ? Find a simpler description of the product  $ST$ ?

Ans: 
$$\left. \begin{aligned} T \begin{bmatrix} 1 \\ 0 \end{bmatrix} &= \begin{bmatrix} 1 \\ 0 \end{bmatrix} \\ T \begin{bmatrix} 0 \\ 1 \end{bmatrix} &= \begin{bmatrix} 0 \\ -1 \end{bmatrix} \end{aligned} \right\} [T] = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

$$T(x, y) = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} x \\ -y \end{bmatrix}$$

$$\left. \begin{aligned} S \begin{bmatrix} 1 \\ 0 \end{bmatrix} &= \begin{bmatrix} -1 \\ 0 \end{bmatrix} \\ S \begin{bmatrix} 0 \\ 1 \end{bmatrix} &= \begin{bmatrix} 0 \\ 1 \end{bmatrix} \end{aligned} \right\} [S] = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$S(x, y) = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} -x \\ y \end{bmatrix}$$

$$ST = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} = \begin{bmatrix} -1 & 0 \\ 0 & -1 \end{bmatrix} = -I$$

31. The product of 2 reflections is a rotation.

multiply these reflection matrices to find the rotation angle

$$\begin{aligned} \text{Ans. } \cancel{\begin{bmatrix} \cos \theta & \sin \theta \\ \sin \theta & -\cos \theta \end{bmatrix}} \text{Ref}(\theta) \text{Ref}(\alpha) &= \begin{bmatrix} \cos 2\theta & \sin 2\theta \\ \sin 2\theta & -\cos 2\theta \end{bmatrix} \begin{bmatrix} \cos 2\alpha & \sin 2\alpha \\ \sin 2\alpha & -\cos 2\alpha \end{bmatrix} \\ &= \begin{bmatrix} \cos(2\theta - 2\alpha) & \sin(2\alpha - 2\theta) \\ \sin(2\theta - 2\alpha) & \cos(2\theta - 2\alpha) \end{bmatrix} \\ &= \begin{bmatrix} \cos[2(\theta - \alpha)] & -\sin[2(\theta - \alpha)] \\ \sin[2(\theta - \alpha)] & \cos[2(\theta - \alpha)] \end{bmatrix} \\ &= \text{Rot}[2(\theta - \alpha)] \end{aligned}$$

32. Suppose  $A$  is a  $3 \times 4$  matrix of rank  $r=2$ , and  $T(v) = Av$ . Choose i/p basis vectors  $v_1, v_2$  from the row space of  $A$ , and  $v_3, v_4$  from the nullspace. Choose o/p basis vectors  $w_1 = Av_1, w_2 = Av_2$  in the column space &  $w_3$  from the nullspace of  $A^T$ . What speciality simple matrix represents  $T$  in these special bases?

Ans  $\Rightarrow$

~~dim(row space)~~ =

$$v_i \in \mathbb{R}^4$$

$$w_i \in \mathbb{R}^3.$$

$$\left. \begin{aligned} Av_1 &= w_1 + 0w_2 + 0w_3 \\ Av_2 &= 0w_1 + w_2 \\ Av_3 &= 0 \\ Av_4 &= 0 \end{aligned} \right\}$$

$$A = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

33. The space  $M$  of  $2 \times 2$  matrices has the basis  $v_1, v_2, v_3, v_4$ . Suppose  $T$  multiplies each matrix by  $\begin{bmatrix} a & b \\ c & d \end{bmatrix}$ . With  $w$ 's equal to  $v$ 's, what  $4 \times 4$  matrix  $A$  represents this transformation  $T$  on matrix space?

Ans:  $T(v_1) = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} = \begin{bmatrix} a & 0 \\ c & 0 \end{bmatrix} = av_1 + cv_3$

$$T(v_2) = av_2 + cv_4$$

$$T(v_3) = bv_1 + dv_3$$

$$T(v_4) = bv_2 + dv_4$$

$$[T] = \begin{bmatrix} a & 0 & b & 0 \\ 0 & a & 0 & b \\ c & 0 & d & 0 \\ 0 & c & 0 & d \end{bmatrix}$$

8.3

Ex:1

The Jordan matrix  $J$  has eigenvalues  $\lambda = 2, 2, 3, 3$  (a double eigenvalues). These eigenvalues lie along the diagonal because  $J$  is triangular.

There are 2 independent eigenvectors for  $\lambda = 2$ , but there is only one line of eigenvectors for  $\lambda = 3$ .

This is true for every matrix  $C = BJB^{-1}$  that is similar to  $J$ .

Jordan matrix,  $J = \begin{bmatrix} 2 & & & \\ & 2 & & \\ & & \begin{bmatrix} 3 & 1 \\ 0 & 3 \end{bmatrix} \end{bmatrix}$

2 eigenvectors for  $\lambda = 2$  are  $\alpha_1 = (1, 0, 0, 0)$  and  $\alpha_2 = (0, 1, 0, 0)$ . One eigenvector for  $\lambda = 3$  is  $\alpha_3 = (0, 0, 1, 0)$ .

The generalized eigenvector for this Jordan matrix is the 4th standard basis vector  $\alpha_4 = (0, 0, 0, 1)$ .

1. In Ex: 1, what is the rank of  $J-3I$ ?  
What's the dimension of its nullspace?

Ans:  $J = \begin{bmatrix} 2 & & & \\ & 2 & & \\ & & 3 & \\ & & & 3 \end{bmatrix} \rightarrow J-3I = \begin{bmatrix} -1 & & & \\ & -1 & & \\ & & 0 & 1 \\ & & & 0 \end{bmatrix}$

$$\text{rank}(J-3I) = 3$$

$$\Rightarrow \dim(\mathcal{N}(J-3I)) = 4-3=1$$



# of independent eigenvectors for  $\lambda=3$ .

The algebraic multiplicity is 2, because  $\det(J-\lambda I)$  has the repeated factor  $(\lambda-3)^2$ .

The geometric multiplicity is 1, because there is only one independent eigenvector.

2. These matrices  $A_1$  and  $A_2$  are similar to  $J$ .

Solve  $A_1 B_1 = B_1 J$  and  $A_2 B_2 = B_2 J$  to find the basis matrices  $B_1$  and  $B_2$  with

$$J = B_1^{-1} A_1 B_1 \quad \text{and} \quad J = B_2^{-1} A_2 B_2$$

$$J = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, \quad A_1 = \begin{bmatrix} 0 & 4 \\ 0 & 0 \end{bmatrix}, \quad A_2 = \begin{bmatrix} 4 & -8 \\ 2 & -4 \end{bmatrix}$$

Ans:

$J = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}$  is similar to all other  $2 \times 2$  matrices  $A$  that have a zero eigenvalue but only 1 independent eigenvector.

$$(A_1 - \lambda I) v_1 = 0 \quad \& \quad (A_1 - \lambda I) v_2 = v_1$$

$$\begin{bmatrix} 0 & 4 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} a \\ b \end{bmatrix} = 0$$

$$4b = 0 \Rightarrow b = 0$$

$$v_1 = t \begin{bmatrix} 1 \\ 0 \end{bmatrix} \rightarrow$$

$$\& \quad \begin{bmatrix} 0 & 4 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} c \\ d \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

$$4d = 1 \Rightarrow d = \frac{1}{4}$$

$$v_2 = k \begin{bmatrix} 0 \\ \frac{1}{4} \end{bmatrix}$$

$$A_1 B_1 = B_1 J$$

$$\begin{bmatrix} 0 & 4 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & \frac{1}{4} \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & \frac{1}{4} \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} 0 & 4 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} 4 & 0 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} 4 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}$$

$$(A_2 - \lambda I) v_1 = 0$$

$$\& (A_2 - \lambda I) v_2 = v_1$$

$$\begin{bmatrix} 4 & -8 \\ 2 & -4 \end{bmatrix} \begin{bmatrix} a \\ b \end{bmatrix} = 0$$

$$\begin{bmatrix} 4 & -8 \\ 2 & -4 \end{bmatrix} \begin{bmatrix} c \\ d \end{bmatrix} = \begin{bmatrix} 2 \\ 1 \end{bmatrix}$$

$$a - 2b = 0$$

$$c - 2d = \frac{1}{2}$$

$$\underline{a = 2b}$$

$$d = 0, c = \frac{1}{2}$$

$$v_1 = t \begin{bmatrix} 2 \\ 1 \end{bmatrix}$$

$$v_2 = k \begin{bmatrix} \frac{1}{2} \\ 0 \end{bmatrix}$$

$$A_2 B_2 = B_2 J$$

$$\begin{bmatrix} 4 & -8 \\ 2 & -4 \end{bmatrix} \begin{bmatrix} 2 & \frac{1}{2} \\ 1 & 0 \end{bmatrix} = \begin{bmatrix} 2 & \frac{1}{2} \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} 4 & -8 \\ 2 & -4 \end{bmatrix} \begin{bmatrix} 4 & 1 \\ 2 & 0 \end{bmatrix} = \begin{bmatrix} 4 & 1 \\ 2 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}$$

3. This transpose block  $J^T$  has the same triple eigenvalue 2 (with only one eigenvector) as  $J$ . Find the basis change  $B$  so that  $J = B^{-1} J^T B$  ( $B J = J^T B$ ).

$$J = \begin{bmatrix} 2 & 1 & 0 \\ 0 & 2 & 1 \\ 0 & 0 & 2 \end{bmatrix}, \quad J^T = \begin{bmatrix} 2 & 0 & 0 \\ 1 & 2 & 0 \\ 0 & 1 & 2 \end{bmatrix}$$

Ans:  $J^T = B J B^{-1}$

$$(J^T - \lambda I) v_1 = 0$$

$$\left( \begin{bmatrix} 2 & 0 & 0 \\ 1 & 2 & 0 \\ 0 & 1 & 2 \end{bmatrix} - \begin{bmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 2 \end{bmatrix} \right) \begin{bmatrix} a \\ b \\ c \end{bmatrix} = 0$$

$$\begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \end{bmatrix} = 0 \quad \rightarrow \quad \begin{matrix} a = 0 \\ b = 0 \end{matrix}$$

$$v_1 = t \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$$

$$(J^T - \lambda I) v_2 = v_1$$

$$\begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} c \\ d \\ e \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \Rightarrow \begin{matrix} c = 0 \\ d = 1 \end{matrix}$$

$$v_2 = k \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}$$

$$(J^T - \lambda I) v_3 = v_2$$

$$\begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} f \\ g \\ h \end{bmatrix} = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \Rightarrow \begin{matrix} f = 1 \\ g = 0 \end{matrix}$$

$$v_3 = l \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

$$BJ = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} 2 & 1 & 0 \\ 0 & 2 & 1 \\ 0 & 0 & 2 \end{bmatrix} = \begin{bmatrix} 2 & 0 & 0 \\ 1 & 2 & 0 \\ 0 & 1 & 2 \end{bmatrix} \begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix} = J^T B$$

→ Every matrix is similar to its transpose (same eigenvalues, same multiplicity, same Jordan form).

4.  $J$  &  $K$  are Jordan forms with the same  
 2 • zero eigenvalues and the same rank 2.  
 But show that no invertible  $B$  solves  
 $BK = JB$ , so  $K$  is not similar to  $J$ .

$$J = \begin{bmatrix} \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix} & \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} \\ \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} & \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} \end{bmatrix}; \quad K = \begin{bmatrix} \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix} & \begin{bmatrix} 0 \\ 0 \end{bmatrix} \\ \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} & \begin{bmatrix} 0 \\ 0 \end{bmatrix} \end{bmatrix}$$

Ans:  $J$  &  $K$  are different Jordan forms (block sizes 2, 2 versus block sizes 3, 1).

Even though  $J$  &  $K$  have the same  $\lambda$ 's (all 0) and same rank,  $J$  and  $K$  are not similar.

$$BK = B \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & b_{11} & b_{12} & 0 \\ 0 & b_{21} & b_{22} & 0 \\ 0 & b_{31} & b_{32} & 0 \\ 0 & b_{41} & b_{42} & 0 \end{bmatrix}$$

$$JB = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{bmatrix} B = \begin{bmatrix} b_{21} & b_{22} & b_{23} & b_{24} \\ 0 & 0 & 0 & 0 \\ b_{41} & b_{42} & b_{43} & b_{44} \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

$$BK = JB \implies$$

$B$  is not invertible.

$$\begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix}$$

5. ~~If~~  $A^3 = 0$  show that all  $\lambda = 0$ , and all Jordan blocks with  $J^3 = 0$  have size 1, 2 or 3.

$\Rightarrow \text{rank}(A) \leq \frac{2n}{3}$ . ~~If~~  $A^n = 0$  why is  $\text{rank}(A) < n$

Ans:  $A^3 = 0$

$$Ax = \lambda x \implies A^3 x = \lambda^3 x = 0$$

$$\implies \underline{\underline{\lambda = 0}} \quad \text{since } x \neq 0.$$

$$A = BJB^{-1} \implies \cancel{A^3 = BJB^{-1}JB^{-1}JB^{-1} = 0}$$

$$J = B^{-1}AB$$

$$\implies \underline{\underline{J^3 = B^{-1}A^3B = 0}}$$

The Jordan form  $J$  will also have  $J^3 = 0$ .

$$\begin{bmatrix} \begin{bmatrix} 2 & 3 \\ 1 & 1 \end{bmatrix} & 0 & 0 & 0 \\ 0 & 0 & \begin{bmatrix} 1 & 2 \\ 3 & 1 \end{bmatrix} & 0 \\ 0 & 0 & 0 & \begin{bmatrix} 3 \end{bmatrix} \end{bmatrix}^n = \begin{bmatrix} A_1 & & \\ & A_2 & \\ & & A_3 \end{bmatrix}^n = \begin{bmatrix} A_1^n & & \\ & A_2^n & \\ & & A_3^n \end{bmatrix}$$

The blocks of  $J$  must become zero blocks in  $J^3$ .  
 So these blocks of  $J$  can be

$$\begin{bmatrix} 0 \end{bmatrix}, \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}.$$

The rank of  $J$  (and  $A$ ) is largest if every block is  $3 \times 3$  of rank 2.

$$\text{rank}(A) \leq \left(\frac{n}{3}\right) 2 = \frac{2n}{3}$$

$$A^n = 0 \Rightarrow \lambda^n = 0 \Rightarrow \lambda = 0 \Rightarrow |A| = 0$$

↙  
 $A$  is not invertible.

$$\therefore \text{rank}(A) < n.$$

$J^3$ .

6. Show that  $u(t) = \begin{bmatrix} te^{\lambda t} \\ e^{\lambda t} \end{bmatrix}$  solves  $\frac{du}{dt} = Ju$

with  $J = \begin{bmatrix} \lambda & 1 \\ 0 & \lambda \end{bmatrix}$  and  $u(0) = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$ .

ILA 7  $J$  is not diagonalizable so  $te^{\lambda t}$  enters the solution.

Ans:  $u' = Ju$

$$\begin{bmatrix} e^{\lambda t} + t\lambda e^{\lambda t} \\ \lambda e^{\lambda t} \end{bmatrix} = \begin{bmatrix} \lambda & 1 \\ 0 & \lambda \end{bmatrix} \begin{bmatrix} te^{\lambda t} \\ e^{\lambda t} \end{bmatrix} = \begin{bmatrix} t\lambda e^{\lambda t} + e^{\lambda t} \\ \lambda e^{\lambda t} \end{bmatrix}$$

At  $t=0$ :  $u_1 = 0$  &  $u_2 = 1$

$\therefore$  We have the solution & it involves  $te^{\lambda t}$

7. Show that the difference equation  $V_{k+2} - 2\lambda V_{k+1} + \lambda^2 V_k = 0$  is solved by  $V_k = \lambda^k$  and also by  $V_k = k\lambda^k$ . These correspond to  $e^{\lambda t}$  and  $te^{\lambda t}$  in problem (6).

Ans: The eq<sup>n</sup>.  $V_{k+2} - 2\lambda V_{k+1} + \lambda^2 V_k = 0$  is solved by  $u_k = \lambda^k$ . But, it is a 2<sup>nd</sup> order equation and there must be another solution.

In analogy with  $te^{\lambda t}$  for the differential eq<sup>n</sup>. in 8.3.6, the 2<sup>nd</sup> solution is

$$u_k = k\lambda^k.$$

Check:

$$(k+2)\lambda^{k+2} - 2\lambda(k+1)\lambda^{k+1} + \lambda^2 k\lambda^k =$$

$$= [k+2 - 2(k+1) + k]\lambda^{k+2} = 0$$

8. Write the  $3 \times 3$  Fourier matrix  $F$  with columns  $(1, \lambda, \lambda^2)$

$\lambda^3 = 1$  has 3 roots

$$1, e^{i2\pi/3}, e^{i4\pi/3}$$

Ans:  $\omega = e^{i\frac{2\pi}{N}} = e^{i\frac{2\pi}{3}}$

$$F = \begin{bmatrix} 1 & 1 & 1 \\ 1 & \cancel{\omega} & \lambda^2 \\ 1 & \omega^2 & \cancel{\lambda^4} \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 \\ 1 & e^{i2\pi/3} & e^{i4\pi/3} \\ 1 & e^{i4\pi/3} & e^{i8\pi/3} \end{bmatrix}$$

9. Check that any  $3 \times 3$  circulant  $C$  has eigenvectors  $(1, \lambda, \lambda^2)$  from problem 8

If the diagonals of your matrix  $C$  contain  $c_0, c_1, c_2$  then its eigenvalues are in  $F_C$ .

Ans:  $C = \begin{bmatrix} c_0 & c_1 & c_2 \\ c_2 & c_0 & c_1 \\ c_1 & c_2 & c_0 \end{bmatrix}$  with

$$C \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} = (c_0 + c_1 + c_2) \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$$

$$C \begin{bmatrix} 1 \\ \lambda \\ \lambda^2 \end{bmatrix} = (c_0 + c_1\lambda + c_2\lambda^2) \begin{bmatrix} 1 \\ \lambda \\ \lambda^2 \end{bmatrix}$$

$$C \begin{bmatrix} 1 \\ \lambda^2 \\ \lambda^4 \end{bmatrix} = (C_0 + \lambda^2 C_1 + \lambda^4 C_2) \begin{bmatrix} 1 \\ \lambda^2 \\ \lambda^4 \end{bmatrix}$$

$$F C = \begin{bmatrix} 1 & 1 & 1 \\ 1 & \lambda & \lambda^2 \\ 1 & \lambda^2 & \lambda^4 \end{bmatrix} \begin{bmatrix} C_0 \\ C_1 \\ C_2 \end{bmatrix} = \begin{bmatrix} C_0 + C_1 + C_2 \\ C_0 + \lambda C_1 + \lambda^2 C_2 \\ C_0 + \lambda^2 C_1 + \lambda^4 C_2 \end{bmatrix}$$

9

## COMPLEX VECTORS & MATRICES

### □ The complex plane

Complex #s corresp. to points in a plane.  
Real #s go along the x-axis.

Adding & subtracting complex #s is like adding and subtracting vectors in the plane. The real component stays separate from the imaginary component.

⇒ The complex plane  $\mathbb{C}$  is like the ordinary 2D plane  $\mathbb{R}^2$ , except that we multiply complex numbers & we didn't multiply vectors.

\* If  $A$  is real,

$$Ax = \lambda x \longrightarrow A\bar{x} = \bar{\lambda}\bar{x}$$

\*  $z = a + ib$

$$|z|^2 = z\bar{z} = (a+ib)(a-ib) = a^2 + b^2$$

$$\frac{1}{z} = \frac{1}{a+ib} = \frac{a-ib}{a^2+b^2} = \frac{\bar{z}}{|z|^2}$$

On the unit circle,  $|z| = 1$

$$\frac{1}{z} = \bar{z}$$

$$* \quad z = a + ib = r \cos \theta + i r \sin \theta = r e^{i\theta}$$

Ex: Find  $r$  and  $\theta$  for  $z = 1 + i$  and also for the conjugate  $\bar{z} = 1 - i$ .

Ans:  $r = |z| = \sqrt{2} = |\bar{z}|$

$$\tan \theta = -1 \quad \& \quad \sin \theta = \frac{-1}{\sqrt{2}}, \quad \cos \theta = \frac{1}{\sqrt{2}}$$

$$\theta = -\frac{\pi}{4}$$

$$* \quad z = r \cos \theta + i r \sin \theta = r e^{i\theta}$$

$$z^n = r^n (\cos n\theta + i \sin n\theta) = r^n e^{in\theta}$$

$$z_1 z_2 = r_1 (\cos \theta_1 + i \sin \theta_1) \cdot r_2 (\cos \theta_2 + i \sin \theta_2) = r_1 e^{i\theta_1} \cdot r_2 e^{i\theta_2}$$

$$= r_1 r_2 [\cos(\theta_1 + \theta_2) + i \sin(\theta_1 + \theta_2)]$$

$$= r_1 r_2 e^{i(\theta_1 + \theta_2)}$$

\*  $n^{\text{th}}$  root of unity

~~from solutions of  $z^n = 1$ .~~

$n$  different  $z$ 's whose  $n^{\text{th}}$  powers equal 1.

Let  $w = e^{i2\pi/n}$ ,

the  $n^{\text{th}}$  powers of  $1, w, w^2, \dots, w^{n-1}$  all equal 1.

These are the  $n^{\text{th}}$  roots of 1. They solve the equation  $z^n = 1$ .

- These are equally spaced around the unit circle, where the full  $2\pi$  is divided by  $n$ . Multiply their angles by  $n$  to take  $n^{\text{th}}$  powers.

## □ Hermitian & Unitary matrices

$$Z = \begin{bmatrix} z_1 \\ z_2 \\ \vdots \\ z_n \end{bmatrix} = \begin{bmatrix} a_1 + ib_1 \\ a_2 + ib_2 \\ \vdots \\ a_n + ib_n \end{bmatrix}$$

Conjugate transpose

$$Z^H = \bar{Z}^T = [\bar{z}_1 \ \bar{z}_2 \ \dots \ \bar{z}_n] = [a_1 - ib_1 \ a_2 - ib_2 \ \dots \ a_n - ib_n]$$

The length squared of a real vector is  $x_1^2 + \dots + x_n^2$ .

The length squared of a complex vector is NOT  $z_1^2 + \dots + z_n^2$ .

The length of  $(1, i)$  would be  $1^2 + i^2 = 0$ .

A non-zero vector would have zero length.

— not good.

Other vectors would have complex lengths.

Length squared

$$\begin{bmatrix} \bar{z}_1 & \bar{z}_2 & \dots & \bar{z}_n \end{bmatrix} \begin{bmatrix} z_1 \\ z_2 \\ \vdots \\ z_n \end{bmatrix} = |z_1|^2 + |z_2|^2 + \dots + |z_n|^2$$

$$\bar{z}^T z = \|z\|^2$$

$$\|z\|^2 = \bar{z}^T z = z^H z = |z_1|^2 + |z_2|^2 + \dots + |z_n|^2$$

where,

$$\bar{z}^T = z^H : \text{conjugate transpose of } z$$

(or)

$z$  Hermitian

## Complex inner products

It'll be very desirable if  $z^H z$  is the inner product of  $z$  with itself.

The inner product of real or complex vectors  $u$  and  $v$  is  $u^H v$ :

$$u^H v = [\bar{u}_1 \dots \bar{u}_n] \begin{bmatrix} v_1 \\ \vdots \\ v_n \end{bmatrix} = \bar{u}_1 v_1 + \dots + \bar{u}_n v_n$$

~~$$(a+ib)(c-id) = (ac+bd) + i(bc-ad)$$~~

~~$$(c-id)(a+ib) = (ac+bd) + i(bc-ad)$$~~

$$V^H u = [\bar{v}_1 \cdots \bar{v}_n] \begin{bmatrix} u_1 \\ \vdots \\ u_n \end{bmatrix} = \bar{v}_1 u_1 + \cdots + \bar{v}_n u_n$$

$$u^H v \neq v^H u$$

\* The inner product of  $Au$  with  $v$  equals the inner product of  $u$  with  $A^H v$ .

$$(Au)^H v = u^H (A^H v)$$

$A^H$  : adjoint of  $A$

$$(AB)^H = B^H A^H$$

□ Hermitian matrices ,  $S^H = S$

\* If  $S^H = S$  and  $z$  is any real or complex column vector, the number  $z^H S z$  is real.

Proof.

$z^H S z$  is  $1 \times 1$ .

$$(z^H S z)^H = z^H S^H (z^H)^H = z^H S^* z$$

$$\Rightarrow z^H S z \text{ is real.}$$

$z^H S z$  : energy

- \* Every eigenvalue of a Hermitian matrix is real.

Proof

$$S z = \lambda z$$

$$z^H S z = \lambda z^H z$$

$$\lambda = \frac{z^H S z}{z^H z} \text{ is real } \text{~~is real~~}$$

- \* The eigenvectors of a Hermitian matrix are orthogonal (when they corresp. to different eigenvalues)

$$\text{If } S z = \lambda z \text{ and } S y = \mu y,$$

$$\text{then } y^H z = 0$$

Proof

$$Sz = \lambda z$$

$$\& Sy = \beta y$$

$\Downarrow$

$$y^H Sz = \lambda y^H z$$

$$y^H S^H = \beta y^H$$

$$y^H Sz = \beta y^H z$$

$$\implies \lambda y^H z = \beta y^H z \implies y^H z = 0 \text{ since } \lambda \neq \beta$$

## □ Unitary matrices

\* A unitary matrix  $Q$  is a (complex) square matrix that has orthonormal columns.

Every matrix  $Q$  with orthonormal columns has  $Q^H Q = I$ .

If  $Q$  is square, it is a unitary matrix.  
Then  $Q^H = Q^{-1}$ .

## Real v/s Complex

$\mathbb{R}$  = line of all real #  
 $-\infty < x < \infty$

$|x|$  = absolute value of  $x$

1 & -1 solve  $x^2 = 1$

The complex conjugate of  $z = x + iy$  is  $\bar{z} = x - iy$

$$|z|^2 = x^2 + y^2 = z\bar{z} \quad \& \quad \frac{1}{z} = \frac{\bar{z}}{|z|^2}$$

The polar form of  $z = x + iy$  is  $|z|e^{i\theta} = re^{i\theta}$   
 $= r(\cos\theta + i\sin\theta)$   
r and  $\theta = y/x$

$\mathbb{R}^n$ : vectors with  $n$  real components

$$\text{length: } \|x\|^2 = x_1^2 + \dots + x_n^2$$

$$\text{transpose: } (A^T)_{ij} = A_{ji}$$

$$\text{dot product: } x^T y = x_1 y_1 + \dots + x_n y_n$$

$$\text{reason for } A^T: (Ax)^T y = x^T (A^T y)$$

$$\text{Orthogonality: } x^T y = 0$$

$$\text{Symmetric matrices: } S = S^T$$

$$S = Q \Lambda Q^{-1} = Q \Lambda Q^T \text{ (real } \Lambda)$$

$\mathbb{C}$  = plane of all complex #  
 $z = x + iy$

$$|z| = \sqrt{x^2 + y^2} = r = \text{absolute value (or modulus) of } z$$

$$z = 1, \omega, \dots, \omega^{n-1} \text{ solve } z^n = 1 \text{ where } \omega = e^{2\pi i/n}$$

$\mathbb{C}^n$ : vectors with  $n$  complex components

$$\text{length: } \|z\|^2 = |z_1|^2 + \dots + |z_n|^2$$

$$\text{Conjugate transpose: } (A^H)_{ij} = \bar{A}_{ji}$$

$$\text{Inner product: } u^H v = \bar{u}_1 v_1 + \dots + \bar{u}_n v_n$$

$$\text{reason for } A^H: (Au)^H v = u^H (A^H v)$$

$$\text{Orthogonality: } u^H v = 0$$

$$\text{Hermitian matrices: } S = S^H$$

$$S = U \Lambda U^{-1} = U \Lambda U^H \text{ (real } \Lambda)$$

skew-symmetric matrices

$$K^T = -K$$

Orthogonal matrices:  $Q^T = Q^{-1}$

Orthonormal columns:  $Q^T Q = I$

$$(Qx)^T (Qy) = x^T y \quad \&$$

$$\|Qx\| = \|x\|$$

skew-Hermitian matrices

$$K^H = -K$$

Unitary matrices:  $U^H = U^{-1}$

Orthonormal columns:  $U^H U = I$

$$(Ux)^H (Uy) = x^H y \quad \&$$

$$\|Ux\| = \|x\|$$

9.1

4.

7. The complex multiplication  $M = (a+bi)(c+di)$  is  
 • a  $2 \times 2$  real multiplication

$$\begin{bmatrix} a & -b \\ b & a \end{bmatrix} \begin{bmatrix} c \\ d \end{bmatrix} = \begin{bmatrix} \phantom{ac-bd} \\ \phantom{bc+ad} \end{bmatrix}$$

The right side contains the real & imaginary parts of  $M$ .

Ans:  $M = (a+bi)(c+di) = (ac-bd) + i(bc+ad)$

$$\begin{bmatrix} a & -b \\ b & a \end{bmatrix} \begin{bmatrix} c \\ d \end{bmatrix} = \begin{bmatrix} ac-bd \\ bc+ad \end{bmatrix}$$

8.  $A = A_1 + iA_2$  is a complex  $n \times n$  matrix and  
 $b = b_1 + ib_2$  is a complex vector.

The solution to  $Ax = b$  is  $x_1 + ix_2$ .

Write  $Ax = b$  as a real system of size  $2n$ :

Complex  $n \times n$  :  $\begin{bmatrix} \phantom{A_1} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} b_1 \\ b_2 \end{bmatrix}$   
 Real  $2n \times 2n$

Thus,  ~~$AX$~~   
 $Xx = b$

$$(A_1 + iA_2)(x_1 + ix_2) = (b_1 + ib_2)$$

$$\begin{bmatrix} A_1 & -A_2 \\ A_2 & A_1 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} b_1 \\ b_2 \end{bmatrix}$$

and

11. For a real matrix, the conjugate of  $Ax = \lambda x$  is  $A\bar{x} = \bar{\lambda}\bar{x}$ .

$\rightarrow \bar{\lambda}$  is another eigenvalue &  $\bar{x}$  is its eigenvector.

$$A = \begin{bmatrix} a & b \\ -b & a \end{bmatrix}$$

$$\text{Ans: } \det(A - \lambda I) = 0$$

$$\begin{vmatrix} a-\lambda & b \\ -b & a-\lambda \end{vmatrix} = (a-\lambda)^2 + b^2 = 0$$

$$(a-\lambda)^2 - (ib)^2 = 0$$

$$(a-ib-\lambda)(a+ib-\lambda) = 0$$

~~$$\lambda_1 = a+ib, \lambda_2 = a-ib = \bar{\lambda}_1$$~~

$$\underline{\lambda_1 = a+ib}, \underline{\lambda_2 = a-ib = \bar{\lambda}_1}$$

$$\lambda_1: \begin{bmatrix} -ib & b \\ -b & -ib \end{bmatrix} \begin{bmatrix} t \\ u \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix} \Rightarrow \begin{cases} -it+u=0 \\ t+iu=0 \end{cases} \Rightarrow \begin{cases} -it+u=0 \\ it-u=0 \end{cases}$$

$$u=it \Rightarrow x_1 = \begin{bmatrix} 1 \\ i \end{bmatrix}$$

$$\lambda_2: \begin{bmatrix} ib & b \\ -b & ib \end{bmatrix} \begin{bmatrix} v \\ w \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix} \Rightarrow \begin{cases} iv+w=0 \\ w=-iv \end{cases} \Rightarrow x_2 = \begin{bmatrix} 1 \\ -i \end{bmatrix}$$

$$x_1 = \begin{bmatrix} 1 \\ i \end{bmatrix}, x_2 = \begin{bmatrix} 1 \\ -i \end{bmatrix} = \bar{x}_1$$

14. A real skew-symmetric matrix ( $A^T = -A$ ) has pure imaginary eigenvalues.

Proof

$$Ax = \lambda x$$

$$\begin{bmatrix} 0 & A \\ -A & 0 \end{bmatrix} \begin{bmatrix} x \\ ix \end{bmatrix} = i\lambda \begin{bmatrix} x \\ ix \end{bmatrix}$$

Symmetric

Block matrix

has real eigenvalues.

$\Rightarrow i\lambda$  is real

$\therefore \lambda$  is purely imaginary

18. Cube roots of 1 ?

Cube roots of -1 ?

Ans:  $x^3 = 1 \Rightarrow (x^3 - 1) = (x - 1)(x^2 + x + 1) = 0$

$x = 1$  or  $x = \frac{-1 \pm i\sqrt{3}}{2}$

$1, e^{i\frac{2\pi}{3}}, e^{i\frac{4\pi}{3}}$

$\left. \begin{matrix} e^{i\frac{2\pi k}{n}} \\ k = 0, 1, \dots, (n-1) \end{matrix} \right\}$

$$z^3 = -1 \Rightarrow z^3 - (-1)^3 = 0$$

$$(z+1)(z^2-z+1) = 0$$

$$z = -1 \text{ (or)} z = \frac{1 \pm i\sqrt{3}}{2}$$

$$\cancel{z} = -1, e^{i\frac{2\pi}{3}}, e^{-i\frac{2\pi}{3}}$$

19. Comparing  $e^{3i\theta} = \cos 3\theta + i \sin 3\theta$  with  $(e^{i\theta})^3 = (\cos \theta + i \sin \theta)^3$ . find the 'triple angle' formulas for  $\cos 3\theta$  and  $\sin 3\theta$  in terms of  $\cos \theta$  and  $\sin \theta$ .

$$\begin{aligned} \text{Ans: } (e^{i\theta})^3 &= (\cos \theta + i \sin \theta)^3 = \cos^3 \theta + 3 \cos^2 \theta (i \sin \theta) + 3 \cos \theta (i \sin \theta)^2 + (i \sin \theta)^3 \\ &= (\cos^3 \theta - 3 \cos \theta \sin^2 \theta) + i [3 \cos^2 \theta \sin \theta - \sin^3 \theta] \\ &= e^{3i\theta} = \cos 3\theta + i \sin 3\theta \end{aligned}$$

$$\begin{aligned} \Rightarrow \cos 3\theta &= \cos^3 \theta - 3 \cos \theta \sin^2 \theta \\ &= \cos^3 \theta - 3 \cos \theta (1 - \cos^2 \theta) \\ &= \underline{\underline{4 \cos^3 \theta - 3 \cos \theta}} \end{aligned}$$

$$\begin{aligned} \sin 3\theta &= 3 \cos^2 \theta \sin \theta - \sin^3 \theta = 3 (1 - \sin^2 \theta) \sin \theta - \sin^3 \theta \\ &= \underline{\underline{3 \sin \theta - 4 \sin^3 \theta}} \end{aligned}$$

26. (a) Why do  $e^i$  and  $i^e$  both have absolute value 1?

(b) In the complex plane put stars near the points  $e^i$  &  $i^e$ .

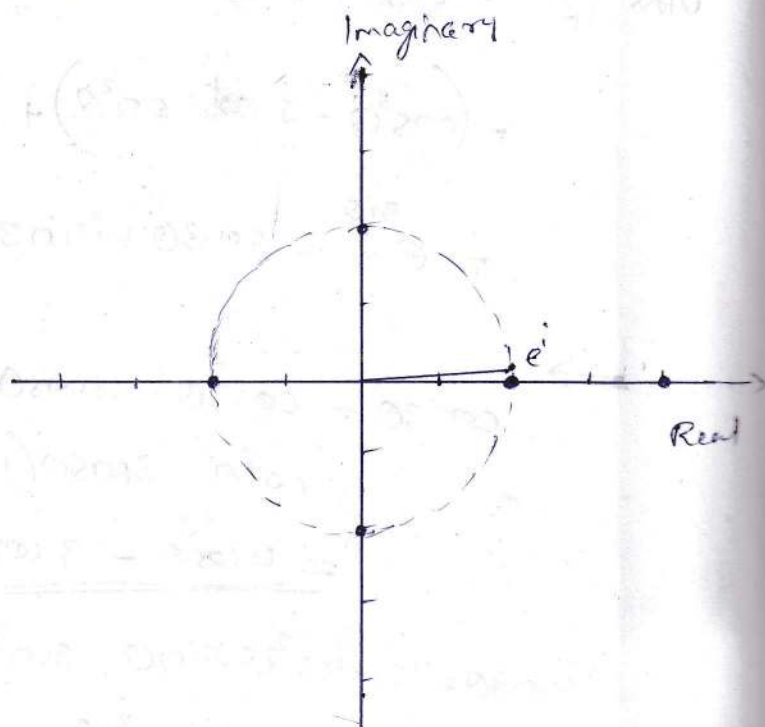
(c) The number  $i^e$  could be  $(e^{i\pi/2})^e$  or  $(e^{5i\pi/2})^e$ . Are those equal?

Ans:

(a)  $|e^i|^2 = e^i e^{-i} = 1$

$|i^e| = i^e \times (-i)^e = (i \times -i)^e = 1^e = 1$

(b)



$$i^i = \left( e^{i\frac{\pi}{2}} \right)^i = e^{i\frac{\pi}{2}i} = e^{-\frac{\pi}{2}}$$

$$= e^{i\left(\frac{\pi}{2} + 2\pi n\right)} = e^{-\frac{\pi}{2} + 2\pi n i}$$

Infinitely many  $i^i$ .

Q2. Draw the paths of these numbers from  $t=0$  to  $t=2\pi$  in the complex plane

(a)  $e^{it}$

Ans: unit circle

(b)  $e^{(1+i)t} = e^{-t} e^{it}$

Ans: Spiral in to  $e^{2\pi i}$

Q3

(c)  $(-1)^t = e^{t\pi i}$

Ans:  $t\pi = k$

$e^{ki}$  ~~for~~  $k: 0 \rightarrow 2\pi^2$

circle continuing around to angle  $\theta = 2\pi^2$

9.9

Q. Compute  $A^H A$  and  $AA^H$ .

$$A = \begin{bmatrix} i & 1 & i \\ 1 & i & i \end{bmatrix}$$

Ans:  $A^H A = \begin{bmatrix} 2 & 0 & 1+i \\ 0 & 2 & 1+i \\ 1-i & 1-i & 2 \end{bmatrix}$

$$AA^H = \begin{bmatrix} 3 & 1 \\ 1 & 3 \end{bmatrix}$$

} Hermitian matrices  
& share the same  
eigenvalues.

4, 2

3. Solve  $Az=0$  to find a vector  $z \in N(A)$ . in problem 2. Show that  $z$  is orthogonal to the columns of  $A^H$ . Show that  $z$  is not orthogonal to the columns of  $A^T$ . The good row space is no longer  $C(A^T)$ . Now it is  $C(A^H)$

Ans:  $\begin{bmatrix} i & 1 & i \\ 1 & i & i \end{bmatrix} \begin{bmatrix} a \\ b \\ c \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix} \Rightarrow \begin{bmatrix} i & 1 & i & | & 0 \\ 1 & i & i & | & 0 \end{bmatrix} \rightarrow \begin{bmatrix} 1 & i & i & | & 0 \\ 0 & 2 & i+1 & | & 0 \end{bmatrix}$

$$a+ib+i^2c=0 \text{ \& } 2b+(i+1)c=0 \Rightarrow$$

$$\begin{bmatrix} a \\ b \\ c \end{bmatrix} = c \begin{bmatrix} -\frac{(1+i)}{2} \\ -\frac{1+i}{2} \\ 1 \end{bmatrix} = k \begin{bmatrix} 1+i \\ 1+i \\ -2 \end{bmatrix} \in N(A)$$

$$a+i \left[ -\frac{(1+i)c}{2} \right] + i^2c = 0$$

$$a = \frac{i(1+i)c - 2i^2c}{2}$$

$$= \frac{c[-1+i-2i]}{2} = c \frac{[-1-i]}{2}$$

$$Az = 0 \implies z^H A^H = 0^H$$

$$z^H \begin{bmatrix} A_1 & A_2 & \dots \end{bmatrix} = 0$$

$\therefore z$  is orthogonal to all columns of  $A^H$ .

Answer

4. The 4 fundamental subspaces are  $C(A)$  and  $N(A)$  &  $C(A^H)$  and  $N(A^H)$ .

Their dimensions are still  $r$  and  $n-r$  &  $r$  and  $m-r$ .

They are still orthogonal subspaces.

5.

If  $Az=0$  then  $A^H Az=0$ . ~~If  $A^H Az=0$ ,~~

The nullspaces of  $A$  and  $A^H A$  are always the same.

$$N(A) = N(A^H A)$$

$\therefore A^H A$  is an invertible Hermitian matrix when  $N(A)$  contains only  $z=0$ .

Proof

$$\text{If } A^H Az=0 \Rightarrow (z^H A^H)(Az) = 0.$$

$$(Az)^H (Az) = \|Az\|^2 = 0$$

$$\therefore Az=0$$

$\Rightarrow$  The nullspaces of  $A$  and  $A^H A$  are always the same.

6. True/False

(a) If  $A$  is a real matrix then  $A+iI$  is invertible

Ans:

$$A = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

$$A = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$$

False

$$|A+iI| = \begin{vmatrix} i & -1 \\ 1 & i \end{vmatrix} = -1 + i = 0$$

(b) If  $S$  is a Hermitian matrix, then  $S+iI$  is invertible.

Ans:  $S^H = S \rightarrow S = \begin{bmatrix} a & b \\ \bar{b} & c \end{bmatrix}$

$$S+iI = \begin{bmatrix} a+i & b \\ \bar{b} & c+i \end{bmatrix}$$

$$|S+iI| = ac - 1 + i(a+c) - |b|^2 \neq 0.$$

(OR)

True  $-i$  is not an eigenvalue when  $S = S^H$ .

© If  $Q$  is a unitary matrix, then  
 $Q + iI$  is invertible

Ans:

False

eigenvalue of  $Q$  is  $e^{i\phi}$

$$|\lambda| = 1$$

need not have to be  $-i$

$$Q\vec{v} = \lambda\vec{v}$$

8.  $P = \begin{bmatrix} 0 & i & 0 \\ 0 & 0 & i \\ i & 0 & 0 \end{bmatrix}$

$$P, P^2, P^{100} = ?$$

eigenvalues of  $P = ?$

Ans:  $\begin{vmatrix} -\lambda & i & 0 \\ 0 & -\lambda & i \\ i & 0 & -\lambda \end{vmatrix} = -\lambda(\lambda^2) - i(+1) = 0$

$$\lambda^3 = -i$$

$$\lambda^3 = -i \Rightarrow (\lambda^3)^3 + 1 = 0$$

$$(i\lambda + 1)(i\lambda^2 - i\lambda + 1) = 0 \Rightarrow i\lambda = \frac{-1 \pm i\sqrt{3}}{2}$$

$$\lambda = \sqrt[3]{\frac{-1 \pm i\sqrt{3}}{2}} = i e^{4\pi i/3} \text{ or } i e^{2\pi i/3} \text{ or } -i$$

$$\vec{x}_1 = (1, 1, 1) \quad \text{with} \quad \lambda_1 = 1$$

$$\vec{x}_2 = (1, \omega, \omega^2)$$

$$\vec{x}_3 = (1, \omega^2, \omega)$$

$$\omega = e^{i2\pi/3}$$

Eigenvector matrix,

$$F_3 = \begin{bmatrix} 1 & 1 & 1 \\ 1 & \omega & \omega^2 \\ 1 & \omega^2 & \omega \end{bmatrix} \quad \text{Fourier matrix.}$$

Eigenvectors of any unitary matrix are orthogonal

10. Write down the  $3 \times 3$  circulant matrix

$C = 2I + 5P$ . It has the same eigenvectors as  $P$  in Problem 8. Find its eigenvalues.

Ans:

13. The matrix  $A^H A$  is not only Hermitian but also true definite, when the columns of  $A$  are independent.

Proof

$$\begin{aligned} z^H S z &= z^H A^H A z = (Az)^H (Az) \\ &= \|Az\|^2 \quad \text{for any } z \end{aligned}$$

$$\|Az\| \neq 0$$

$$Az \neq 0 \quad \text{when } z \neq 0$$

$\Rightarrow z^H S z$  is the true number  $\|Az\|^2$   
and the matrix  $S$  is true definite.

22.  $\Sigma$   
ma

Ans.

$S^H$

$U^H$

OM (23)

Spino

Q2. 1 Describe all  $1 \times 1$  and  $2 \times 2$  Hermitian matrices and unitary matrices.

Ans.  $[1], [-1]$  Hermitian

$[e^{i\theta}]$  : Unitary

$$S^\dagger = S \Rightarrow \begin{bmatrix} a & b \\ c & d \end{bmatrix} = \begin{bmatrix} a^* & c^* \\ b^* & d^* \end{bmatrix} \Rightarrow a^* = a, c^* = b, b^* = c, d^* = d.$$

$$\begin{bmatrix} u & v+iw \\ v-iw & z \end{bmatrix} \text{ is } 2 \times 2 \text{ Hermitian}$$

$$U^\dagger = U^{-1} \Rightarrow \begin{bmatrix} a^* & c^* \\ b^* & d^* \end{bmatrix} = \frac{1}{\det(U)} \begin{bmatrix} d & -b \\ -c & a \end{bmatrix} = \frac{1}{e^{i\phi}} \begin{bmatrix} d & -b \\ -c & a \end{bmatrix}$$

$$d = e^{i\phi} a^*, b = -c^* e^{i\phi}$$

$$\psi = \begin{bmatrix} a \\ -c^* e^{i\phi} \end{bmatrix}$$

$$U = \begin{bmatrix} a & -e^{i\phi} c^* \\ c & e^{i\phi} a^* \end{bmatrix}$$

is  
 $2 \times 2$  unitary

OM (23)

Spinors

24. If  $uu^H = I$  show that  $\underline{I - 2uu^H}$  is Hermitian and also unitary. The rank-1 matrix  $uu^H$  is the projection onto what line in  $\mathbb{C}^n$ ?

Ans:  $(I - 2uu^H)^H = I - 2uu^H$

$$\begin{aligned} (I - 2uu^H)^2 &= I - 4uu^H + 4u(u^H u)u^H \\ &= I - 4uu^H + 4uu^H = I \end{aligned}$$

$$(uu^H)w = (u^H w)u$$

→ the rank-1 matrix  $uu^H$  projects onto the line thro'  $u$ .

25.

Ans:

26.

Ans:

25. If  $A+iB$  is a unitary matrix ( $A$  &  $B$  are real) Show that  $Q = \begin{bmatrix} A & -B \\ B & A \end{bmatrix}$  is an orthogonal matrix

Ans:  $(A^T - iB^T)(A + iB) = (A^T A + B^T B) + i(A^T B - B^T A) = I$

$$\Rightarrow A^T A + B^T B = I \quad \& \quad A^T B - B^T A = 0$$

$$\begin{aligned} Q^T Q &= \begin{bmatrix} A & -B \\ B & A \end{bmatrix}^T \begin{bmatrix} A & -B \\ B & A \end{bmatrix} = \begin{bmatrix} A^T & B^T \\ -B^T & A^T \end{bmatrix} \begin{bmatrix} A & -B \\ B & A \end{bmatrix} \\ &= \begin{bmatrix} A^T A + B^T B & -(A^T B - B^T A) \\ A^T B - B^T A & B^T B + A^T A \end{bmatrix} = \begin{bmatrix} I & 0 \\ 0 & I \end{bmatrix} \end{aligned}$$

✓  
26. If  $A+iB$  is Hermitian ( $A$  &  $B$  are real) show that  $\begin{bmatrix} A & -B \\ B & A \end{bmatrix}$  is symmetric

Ans:  $A^T - iB^T = A + iB \Rightarrow A^T = A \quad \& \quad B^T = -B$

$$\begin{bmatrix} A & -B \\ B & A \end{bmatrix}^T = \begin{bmatrix} A^T & B^T \\ -B^T & A^T \end{bmatrix} = \begin{bmatrix} A & -B \\ B & A \end{bmatrix}$$

Symmetric

10.7

## Linear Algebra for Cryptography

Cryptography is about encoding and decoding messages.

Finite fields and finite vector spaces.

The field for  $\mathbb{R}^n$  contains all real # & there are infinitely many vectors in  $\mathbb{R}^n$ .  
\* The field for "modular arithmetic" contains only  $p$  integers  $0, 1, 2, \dots, p-1$ , and there are only  $p^n$  messages of length  $n$  in message space.

→ Linear Algebra with finite fields

$$y \equiv x \pmod{p} : y-x \text{ is divisible by } p$$

Ex:-

$$27 \equiv 2 \pmod{5} : (27-2) \text{ is divisible by } 5$$

' $\equiv$ ' : congruent

All the numbers  $5, -5, 10, -10, \dots$  with no remainder are congruent to  $0 \pmod{5}$ .

$y = 6, -4, 11, -9, \dots$  are all congruent to  $x = 1 \pmod{5}$ .

## □ Modular Arithmetic

Linear algebra is based on linear combinations of vectors.

Now, our vectors  $(x_1, x_2, \dots, x_n)$  are strings of integers limited to  $x = 0, 1, \dots, p-1$ .

All calculations produce these integers when we work "mod  $p$ ". i.e.,

$$\begin{array}{ccc} y = 2P + x & \longleftrightarrow & y = x \pmod{p} \\ \swarrow & x = y \pmod{p} & \searrow \\ y \text{ divided by } p \text{ has remainder } x & & \end{array}$$

Addition mod 3:

$$10 \equiv 1 \pmod{3} \text{ and } 16 \equiv 1 \pmod{3}$$

$$\implies 10+16 \equiv 1+1 \pmod{3}$$

Addition mod 2:

$$11 \equiv 1 \pmod{2} \text{ and } 17 \equiv 1 \pmod{2}$$

$$2 \equiv 0 \pmod{2} \implies 11+17 = 28 \equiv 0 \pmod{2}$$

~~Addition mod p:~~

Multiplication mod p

$$p = 3 :$$

$$10 \equiv 1 \pmod{3} \text{ times } 16 \equiv 1 \pmod{3} \xRightarrow{10 \times 16 =} 160 \equiv 1 \pmod{3}$$

$$5 \equiv 2 \pmod{3} \text{ times } 8 \equiv 2 \pmod{3} \xRightarrow{5 \times 8 =} 40 \equiv 1 \pmod{3}$$

→ We can safely add & multiply mod  $p$ .

∴ we can take linear combinations.

Division ?

In the real number field, the inverse is  $\frac{1}{y}$   
(for any # except  $y=0$ ). i.e., we can find  
another real #  $z$  so that  $yz=1$ .

Invertibility is a requirement for a field.

Is inversion always possible mod  $p$  ?

For every number  $y=1, 2, \dots, p-1$  can we find  
another number  $z=1, 2, \dots, p-1$  so that  
 $yz \equiv 1 \pmod{p}$  ?

$$yz \equiv 1 \pmod{p}$$

$\Rightarrow$  'z' is the multiplicative inverse of 'y' modulo 'p'.

$$yz \equiv 1 \pmod{p} \iff yz + tp = 1 \text{ for some } t \in \mathbb{Z}$$

Bezout's theorem : Diophantine equations

H(6)

Let  $a$  &  $b$  be non-zero integers and let  $d = \gcd(a, b)$ . Then there exists integers  $x$  and  $y$  that satisfy  $ax + by = d$ .

A linear equation  $ax + by = d$  has an integer solution  $\iff \gcd(a, b)$  divides  $d$ .  
ie.,  $\gcd(a, b) \mid d$

$$yz \equiv 1 \pmod{p}$$

$$\implies yz + tp = 1 \text{ for some } t \in \mathbb{Z}$$

We can find  $z$  and  $t$  such that

$$yz + tp = \gcd(y, p).$$

i.e., if  $\gcd(y, p) = 1$ , we can find a multiplicative inverse.

Inversion of every  $y$  ( $0 < y < p$ ) will be possible iff  $p$  is prime.

Ex:-

Find the multiplicative inverse of 27 modulo 4.

i.e., find  $z$  such that

$$27z \equiv 1 \pmod{4}$$

$$\gcd(27, 4) = \gcd(4, 3) = \gcd(3, 1) = \gcd(1, 0) = 1$$

$\therefore$  inverse exists.

$$\boxed{\begin{array}{l} 27 \equiv 3 \pmod{4} \\ 4 \equiv 1 \pmod{3} \end{array}}$$

$$27 = 6 \times 4 + 3$$

$$4 = 1 \times 3 + 1$$

$$3 = 27 - 6 \times 4$$

$$1 = 4 - 1 \times 3$$

$$1 = 4 - 1 \times 3 = 4 - 1(27 - 6 \times 4)$$

$$= 4 + 6 \times 4 - 1 \times 27$$

$$1 = 7 \times 4 + (-1) \times 27$$

$$(27)(-1) - 1 = -7(4) \Rightarrow$$

$$(27)^{-1} = -1 \equiv 3 \pmod{4}$$


---

Ex:- Inverse of  $a = 27$  under modulo  $b = 5$ .

Ans:  $27x \equiv 1 \pmod{5} \Rightarrow 27 \equiv 2 \pmod{5}$

$$\begin{cases} 27 = 5(5) + 2 \\ 5 = 2(2) + 1 \end{cases} \Rightarrow \begin{cases} a = 27 - 5(5) \\ 1 = 5 - 2(2) \end{cases}$$

---


$$1 = 5 - 2(2) = 5 - 2[27 - 5(5)] = 5 - 2(27) + 10(5)$$

$$1 = 11(5) - 2(27) \Rightarrow -2(27) - 1 = -11(5)$$

$$(27)^{-1} = -2 \equiv 3 \pmod{5}$$


---

Ex:-  $a = 9, m = 26$

Ans:  $9x \equiv 1 \pmod{26} \Rightarrow 9 \equiv 9 \pmod{26}$

$$\begin{cases} 26 = 2(9) + 8 \\ 9 = 1(8) + 1 \end{cases} \Rightarrow \begin{cases} 8 = 26 - 2(9) \\ 1 = 9 - 1(8) \end{cases}$$

$$1 = 9 - 1(26 - 2(9)) = 3(9) - 1(26)$$

$$3(9) - 1 = 1(26) \Rightarrow 9^{-1} = 3 \equiv 3 \pmod{26}$$


---

Ex:-  $a = 7, m = 26$ . Find  $a^{-1} \pmod{m}$

Ans  $7x = 1 \pmod{26}$

$$\left\{ \begin{array}{l} 7 = 7 \pmod{26} \end{array} \right\}$$

$$7 = 0(26) + 7$$

$$26 = 3(7) + 5 \quad \left\{ \begin{array}{l} 5 = 26 - 3(7) \end{array} \right.$$

$$7 = 1(5) + 2 \quad \left\{ \begin{array}{l} 2 = 7 - 1(5) \end{array} \right.$$

$$5 = 2(2) + 1 \quad \left\{ \begin{array}{l} 1 = 5 - 2(2) \end{array} \right.$$

$$1 = 5 - 2(2) = 5 - 2[7 - 1(5)]$$

$$= 3(5) - 2(7)$$

$$= 3[26 - 3(7)] - 2(7)$$

$$1 = 3(26) - 11(7)$$

$$-11(7) - 1 = -3(26)$$

$$\therefore 7^{-1} = (-11) = \underline{\underline{15 \pmod{26}}}$$

## ■ Modular Arithmetic

In mathematics, modular arithmetic is a system of arithmetic for integers, where numbers "wrap around" when reaching a certain value, called the modulus.

### Familiar use:

12-hour clock, in which the day is divided into two 12-hour periods.

If the time is 7:00 now, then 8 hours later it will be 3:00. Simple addition would result in  $7+8=15$ , but clocks "wrap around" every 12 hours. Because the hour number starts over after it reaches 12, this is arithmetic modulo 12.

15 is congruent to 3 modulo 12.

$$15 \equiv 3 \pmod{12} \quad \text{(or)} \quad 15 \bmod 12 = 3 \bmod 12$$

"15:00" on a 24-hour clock is displayed "3:00" on a 12-hour clock.

A number  $a \bmod N$  is the equivalent  
of asking for the remainder of  $a$  when  
divided by  $N$ .

Two integers  $a$  and  $b$  are said to be  
congruent (or in the same equivalence class)  
modulo  $N$  if they have the same remainder  
upon division by  $N$ . In such a case,  
we say that,

$$a \equiv b \pmod{N}$$

$$a = xN + b$$

$$a \equiv b \pmod{N}$$

$$N \overline{) \begin{array}{r} x \\ a \\ \hline b \end{array}}$$

$$b = a \operatorname{div} N$$

$$b = a \bmod N \quad : \text{ remainder of 'a' when divided by 'N' }$$

The congruence relation satisfies all the conditions of an equivalence relation:

\* Reflexivity :  $a \equiv a \pmod{N}$

\* Symmetry :  $a \equiv b \pmod{N}$  if  
 $b \equiv a \pmod{N}$  for all  $a, b, N$

\* Transitivity : If  $a \equiv b \pmod{N}$  and  $b \equiv c \pmod{N}$   
then,  $a \equiv c \pmod{N}$

□

Ex:-

$$9 \equiv 3 \pmod{6}$$

$$23 \equiv 5 \pmod{6}$$

$$-8 \equiv 4 \pmod{6}$$

$$12 \equiv 0 \pmod{6}$$

\* If  $a \equiv c \pmod{p}$  and  $b \equiv d \pmod{p}$ ,  
then  $a+b \equiv c+d \pmod{p}$

\* If  $a \equiv c \pmod{p}$  and  $b \equiv d \pmod{p}$ ,  
then  $a \cdot b \equiv c \cdot d \pmod{p}$

## Properties of addition in modular arithmetic

\* If  $a+b=c$ , then

$$a(\bmod N) + b(\bmod N) \equiv c(\bmod N)$$

\* If  $a \equiv b(\bmod N)$ , then

$$a+k \equiv b+k(\bmod N) \text{ for any integer } k$$

\* If  $a \equiv b(\bmod N)$  and  $c \equiv d(\bmod N)$ , then

$$a+c \equiv b+d(\bmod N)$$

\* If  $a \equiv b(\bmod N)$ , then

$$-a \equiv -b(\bmod N)$$

## Properties of multiplication in modular arithmetic

\* If  $a \cdot b = c$ , then

$$a \pmod{N} \cdot b \pmod{N} \equiv c \pmod{N}$$

\* If  $a \equiv b \pmod{N}$ , then

$$ka \equiv kb \pmod{N} \text{ for any } k \in \mathbb{Z}$$

\* If  $a \equiv b \pmod{N}$  and  $c \equiv d \pmod{N}$ , then

$$ac \equiv bd \pmod{N}$$

## 2. Modular Arithmetic with Matrices

To multiply mod  $p$ , we can multiply the integers in  $A$  times the integers in ' $x$ ' as usual - and then replace every entry of  $Ax$  by its value mod  $p$ .

Can we solve  $Ax \equiv b \pmod{p}$  ?

~~Yes~~

There is an inverse matrix mod  $p$  whenever the determinant of  $A$  is non-zero mod  $p$ , and  $p$  is a prime #.

~~Ex:-  $A = \begin{bmatrix} 2 & 0 \\ 2 & 1 \end{bmatrix}$~~

~~mod 3 with integers~~

~~$[A] = \begin{bmatrix} 2 & 0 & 1 & 0 \\ 2 & 1 & 0 & 1 \end{bmatrix} \rightarrow \begin{bmatrix} 2 & 0 & 1 & 0 \\ 0 & 1 & -1 & 1 \end{bmatrix} \rightarrow$~~

Ex:-

Solve  $2x + 6y = 1 \pmod{7}$

$4x + 3y = 2 \pmod{7}$

Ans:  $\begin{bmatrix} 2 & 6 \\ 4 & 3 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 1 \\ 2 \end{bmatrix} \pmod{7} = \begin{bmatrix} a \\ b \end{bmatrix}$

$$\begin{bmatrix} 2 & 6 \\ 4 & 3 \end{bmatrix}^{-1} = \frac{1}{-18} \begin{bmatrix} 3 & -6 \\ -4 & 2 \end{bmatrix} = (-18)^{-1} \begin{bmatrix} 3 & -6 \\ -4 & 2 \end{bmatrix}$$

$$-18 \equiv 3 \pmod{7}$$

$$-18x \equiv 1 \pmod{7} \quad (\text{or}) \quad 3 \pmod{7} \times x \pmod{7} = 1 \pmod{7}$$

~~$3x \pmod{7} = 1 \pmod{7}$~~

$$-18 = -3(7) + 3$$

$$7 = 2(3) + 1$$

$$3 = -18 + 3(7)$$

$$1 = 7 - 2(3)$$

$$2 \cdot 1 = 7 - 2(3) = 7 - 2[-18 + 3(7)]$$

$$= (7 + 36 - 6(7))$$

$$= 43 - 6(7) = (6(7) + 1) - 6(7)$$

$$= 7 - 2(-18) - 6(7)$$

$$= -5(7) - 2(-18)$$

$$\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} -5 & -2 \\ 2 & 1 \end{bmatrix} \begin{bmatrix} 7 \\ -18 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

$$(E \text{ bar}) \cdot 2 = 91 -$$

$$(x \text{ bar}) / 1 = (x \text{ bar}) / 6 \cdot (x \text{ bar}) / 3 \quad (x \text{ bar}) \cdot (x \text{ bar}) \cdot 1 = 2 \cdot 3 -$$

$$(-18)^{-1} = (3 \pmod{7}) = 5$$

$$\begin{bmatrix} 2 & 6 \\ 4 & 3 \end{bmatrix}^{-1} = \cancel{(-18)^{-1}} \begin{bmatrix} 3 & -6 \\ -4 & 2 \end{bmatrix} = \frac{1}{3 \pmod{7}} \begin{bmatrix} 3 & 1 \\ 3 & 2 \end{bmatrix} \pmod{7}$$

$$= 5 \begin{bmatrix} 3 & 1 \\ 3 & 2 \end{bmatrix} \pmod{7}$$

$$\begin{bmatrix} 2 & 6 \\ 4 & 3 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 1 \\ 2 \end{bmatrix} \pmod{7}$$

$$\begin{bmatrix} 2 & 6 \\ 4 & 3 \end{bmatrix}^{-1} \begin{bmatrix} 2 & 6 \\ 4 & 3 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 2 & 6 \\ 4 & 3 \end{bmatrix}^{-1} \begin{bmatrix} 1 \\ 2 \end{bmatrix} \pmod{7}$$

$$\begin{bmatrix} x \\ y \end{bmatrix} = 5 \begin{bmatrix} 3 & 1 \\ 3 & 2 \end{bmatrix} \pmod{7} \begin{bmatrix} 1 \\ 2 \end{bmatrix} \pmod{7}$$

$$= 5 \begin{bmatrix} 3 & 1 \\ 3 & 2 \end{bmatrix} \begin{bmatrix} 1 \\ 2 \end{bmatrix} \pmod{7}$$

$$= 5 \begin{bmatrix} 5 \\ 7 \end{bmatrix} \pmod{7}$$

$$= \begin{bmatrix} 25 \\ 35 \end{bmatrix} \pmod{7}$$

$$= \begin{bmatrix} 4 \\ 0 \end{bmatrix}$$

Ex:-  $4x + 6y = 1 \pmod{7}$

$x + 5y = 2 \pmod{7}$

Ans:  $\begin{bmatrix} 4 & 6 \\ 1 & 5 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 1 \\ 2 \end{bmatrix} \pmod{7}$

$\det(A) = 20 - 6 = 14 = 0 \pmod{7}$

$4(x + 5y) = 4x + 6y = 1 \pmod{7}$

$\Rightarrow$  1<sup>st</sup> equation is 4 times the 2<sup>nd</sup>.

$\therefore$  It suffices to solve  ~~$4x + 6y$~~   
 $x + 5y = 2 \pmod{7}$

Equivalent  
Diophantine equation  $\rightarrow$

$x + 5y + 7z = 2$

Ex:  $A = \begin{bmatrix} 2 & 3 \\ 4 & 5 \end{bmatrix}$

$\text{mod } (29)$

$AA^{-1} = I$

Ans:

$$[A|I] = \begin{bmatrix} 2 & 3 & 1 & 0 \\ 4 & 5 & 0 & 1 \end{bmatrix} \Leftrightarrow \begin{bmatrix} 30 & 45 & 15 & 0 \\ 4 & 5 & 0 & 1 \end{bmatrix} \begin{cases} 30 \equiv 1 \pmod{29} \\ (2)^{-1} = 15 \end{cases}$$

$$\equiv \begin{bmatrix} 1 & 16 & 15 & 0 \\ 4 & 5 & 0 & 1 \end{bmatrix} \pmod{29}$$

$$\Leftrightarrow \begin{bmatrix} 1 & 16 & 15 & 0 \\ 0 & -59 & -60 & 1 \end{bmatrix}$$

$-4 \equiv 25$

$$\equiv \begin{bmatrix} 1 & 16 & 15 & 0 \\ 0 & 28 & 27 & 1 \end{bmatrix} \pmod{29}$$

$(28)^{-1} = 28$

$$\Leftrightarrow \begin{bmatrix} 1 & 16 & 15 & 0 \\ 0 & 1 & 2 & 28 \end{bmatrix}$$

$$\equiv \begin{bmatrix} 1 & 16 & 15 & 0 \\ 0 & 1 & 2 & 28 \end{bmatrix} \pmod{29}$$

$$\leftrightarrow \left[ \begin{array}{cc|cc} 1 & 0 & -17 & -448 \\ 0 & 1 & 2 & 28 \end{array} \right] \quad \left. \begin{array}{l} -16 = 13 \\ \end{array} \right\}$$

$$\equiv \left[ \begin{array}{cc|cc} 1 & 0 & 12 & 16 \\ 0 & 1 & 2 & 28 \end{array} \right] \pmod{29}$$

~~AA~~

$$A A^{-1} \pmod{29} = \begin{bmatrix} 2 & 3 \\ 4 & 5 \end{bmatrix} \begin{bmatrix} 12 & 16 \\ 2 & 28 \end{bmatrix} = \begin{bmatrix} 30 & 116 \\ 58 & 204 \end{bmatrix}$$

$$= \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \pmod{29}$$

$$\begin{bmatrix} 2 & 3 \\ 4 & 5 \end{bmatrix}^{-1} = \begin{bmatrix} 12 & 16 \\ 2 & 28 \end{bmatrix} \pmod{29}$$

(OR)

$$A = \begin{bmatrix} 2 & 3 \\ 4 & 5 \end{bmatrix}$$

$$\begin{bmatrix} 2 & 3 \\ 4 & 5 \end{bmatrix}^{-1} = \begin{bmatrix} 5 & -3 \\ -4 & 2 \end{bmatrix} \times (10-12)^{-1}$$

$$= (-2)^{-1} \begin{bmatrix} 5 & -3 \\ -4 & 2 \end{bmatrix}$$

$$\therefore -2x = 1 \pmod{29}$$

$$-2 = 27 \pmod{29}$$

$$-2 = -1(29) + 27 \quad \left. \begin{array}{l} 27 = -2 + 1(29) \\ 29 = 1(27) + 2 \end{array} \right\}$$

$$29 = 1(27) + 2 \quad \left. \begin{array}{l} 27 = -2 + 1(29) \\ 29 = 1(27) + 2 \end{array} \right\}$$

$$27 = 13(2) + 1$$

$$\begin{aligned} 2 &= 29 - 1(-2 + 1(29)) \\ &= 29 + 1(2) - 1(29) \end{aligned}$$

$$1 = 27 - 13(2) = 27 - 13[29 - 1(27)] = 14(27) - 13(29)$$

$$1 = 14[-2 + 1(29)] - 13(29) = 14(-2) + 1(29)$$

$$-2(14) + 1 = -1(29)$$

$$\therefore (-2)^{-1} = 14 = \underline{\underline{14 \pmod{29}}}$$

$$\begin{bmatrix} 2 & 3 \\ 4 & 5 \end{bmatrix}^{-1} = 14 \begin{bmatrix} 5 & -3 \\ -4 & 2 \end{bmatrix} \pmod{29}$$

$$= \begin{bmatrix} 70 & -42 \\ -56 & 28 \end{bmatrix}$$

$$= \begin{bmatrix} 12 & 16 \\ 2 & 28 \end{bmatrix} \pmod{29}$$

Ex:-  $A = \begin{bmatrix} 2 & 0 \\ 2 & 1 \end{bmatrix}$

$$[A|I] = \left[ \begin{array}{cc|cc} 2 & 0 & 1 & 0 \\ 2 & 1 & 0 & 1 \end{array} \right]$$

$$\Leftrightarrow \left[ \begin{array}{cc|cc} 2 & 0 & 1 & 0 \\ 0 & 1 & -1 & 1 \end{array} \right]$$

$$\equiv \left[ \begin{array}{cc|cc} 2 & 0 & 1 & 0 \\ 0 & 1 & 2 & 1 \end{array} \right] \pmod{3}$$

$$2 \cdot 2 = 1 \pmod{3}$$

$$2 = 2 \pmod{3}$$

$$\therefore 2^{-1} = 2 \pmod{3}$$

$$\begin{bmatrix} 2 \\ 1 \end{bmatrix} \rightarrow \left[ \begin{array}{cc|cc} 4 & 0 & 2 & 0 \\ 0 & 1 & 2 & 1 \end{array} \right]$$

$$\equiv \left[ \begin{array}{cc|cc} 1 & 0 & 2 & 0 \\ 0 & 1 & 2 & 1 \end{array} \right]$$

$$\begin{bmatrix} 2 & 0 \\ 2 & 1 \end{bmatrix}^{-1} = \begin{bmatrix} 2 & 0 \\ 2 & 1 \end{bmatrix} \pmod{3}$$